

**Regular permutation groups**

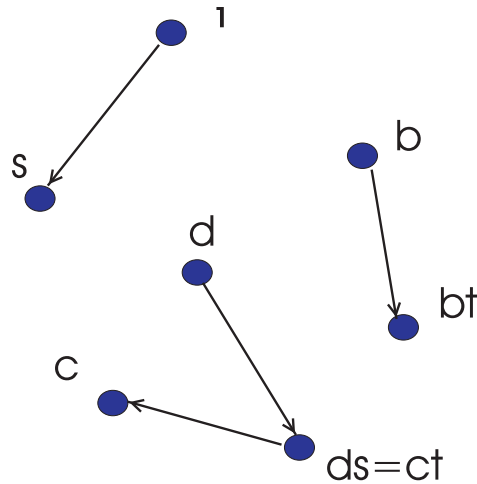
**and Cayley graphs**

**Cheryl E Praeger**

**University of Western Australia**

## What are Cayley graphs?

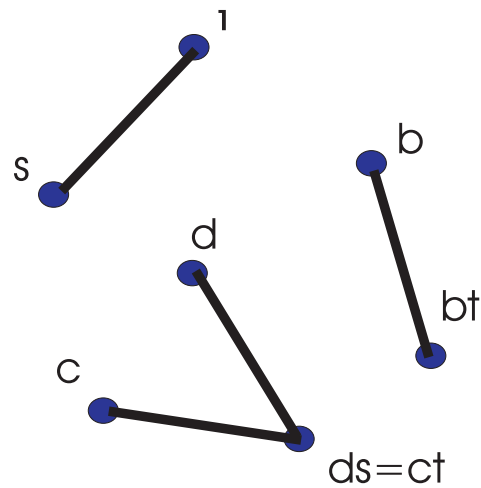
<b>Group :</b>	$G$ with generating set $S = \{s, t, u, \dots\}$
<b>Group elements:</b>	'words in $S$ ' $stu^{-1}s$ etc
<b>Cayley graph:</b>	$\text{Cay}(G, S)$ vertices: group elements edges: multiplication from $S$



## What are Cayley graphs?

If  $S$  inverse closed:  $s \in S \iff s^{-1} \in S$

Cayley graph  $\text{Cay}(G, S)$ : may use undirected edges



## Some reasonable questions

**Where:** do they arise in mathematics today?

**Where:** did they originate?

**What:** kinds of groups  $G$  give interesting Cayley graphs  $\text{Cay}(G, S)$ ?

**Which graphs:** arise as Cayley graphs?

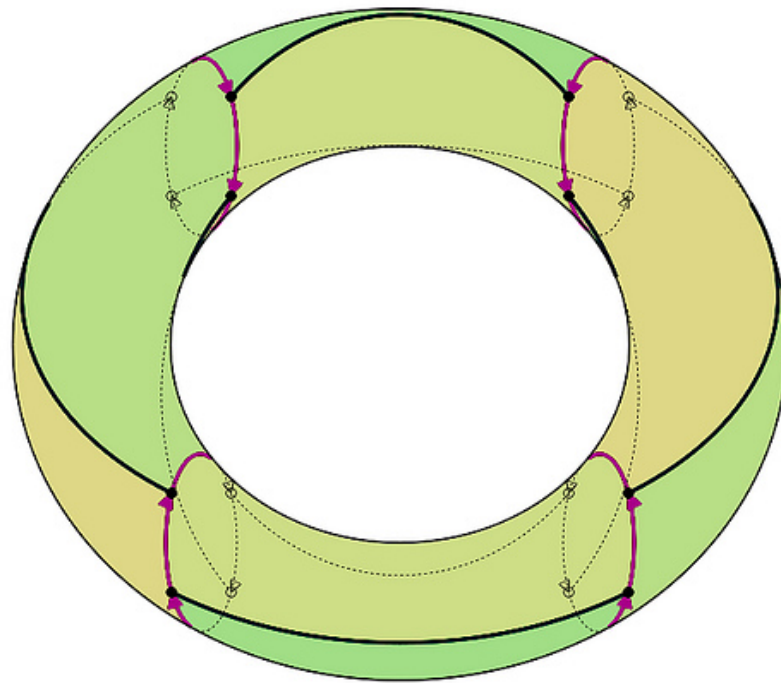
**Does it matter:** what  $S$  we choose?

**Are:** Cayley graphs important and why?

**And:** what about **regular permutation groups**?

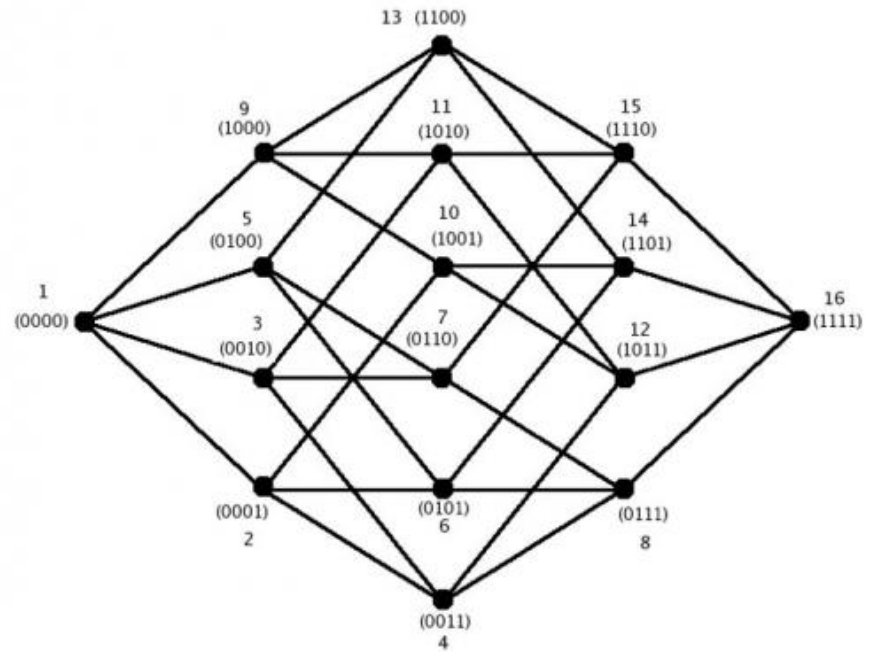
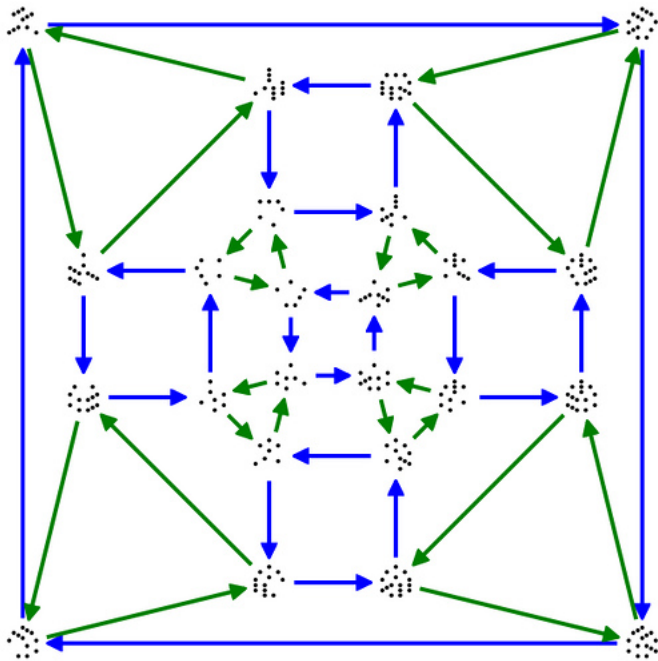
Let's see how I go with answers!

## In Topology: Embedding maps in surfaces



Thanks to Ethan Hein: [flickr.com](https://www.flickr.com/photos/ethanhein/)

# Computer networks; experimental layouts (statistics)



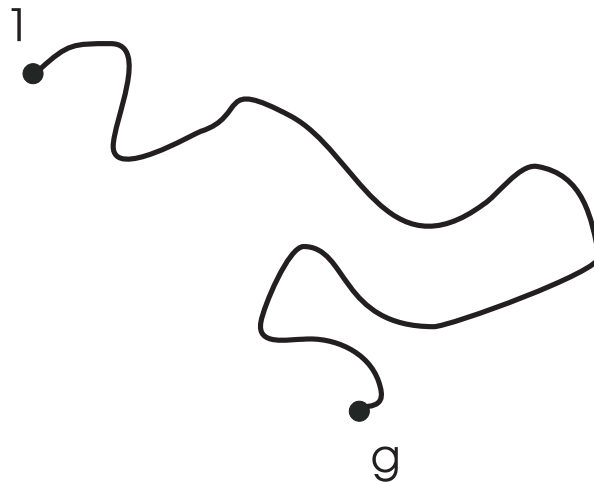
Thanks to Ethan Hein: [flickr.com](https://www.flickr.com/photos/ethanhein/) and Jason Twamley

## Random walks on Cayley graphs

**Applications:** from percolation theory to group computation

**How large  $n$ :**  $g$  'approximately random' in  $G$ ? Independence?

**Method:** for random selection in groups – underpins randomised algorithms for group computation (Babai, 1991)



# Fundamental importance for group actions in combinatorics and geometry

I will describe:

- Regular permutation groups
- Origins of Cayley graphs
- Links with group theory
- Some recent work and open problems  
on primitive Cayley graphs



## Permutation groups

**Permutation :** of set  $\Omega$ , bijection  $g : \Omega \rightarrow \Omega$   
**Symmetric** group of all permutations of  $\Omega$   
**group  $\text{Sym}(\Omega)$ :** under composition, for example  
 $g = (1, 2)$  followed by  $h = (2, 3)$  yields  $gh = (1, 3, 2)$   
 $g = (1, 2, 3)$  has inverse  $g^{-1} = (3, 2, 1) = (1, 3, 2)$   
**Permutation**  $G \leq \text{Sym}(\Omega)$ , that is, subset  
**group on  $\Omega$ :** closed under inverses and products (compositions)  
**Example:**  $G = \langle (0, 1, 2, 3, 4) \rangle < \text{Sym}(\Omega)$  on  $\Omega = \{0, 1, 2, 3, 4\}$

## Regular permutation groups

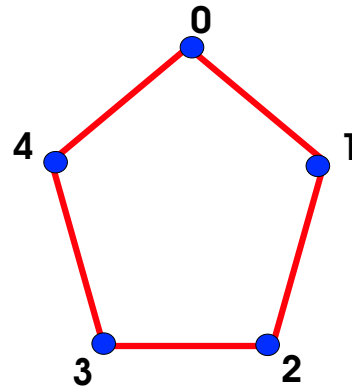
**Permutation group:**  $G \leq \text{Sym}(\Omega)$

**$G$  transitive:** all points of  $\Omega$  equivalent under elements of  $G$

**$G$  regular:** 'smallest possible transitive' that is only the identity element of  $G$  fixes a point

**Example:**  $G = \langle (0, 1, 2, 3, 4) \rangle$  on  $\Omega = \{0, 1, 2, 3, 4\}$

**Alternative view:**  $G = \mathbb{Z}_5$  on  $\Omega = \{0, 1, 2, 3, 4\}$  by addition



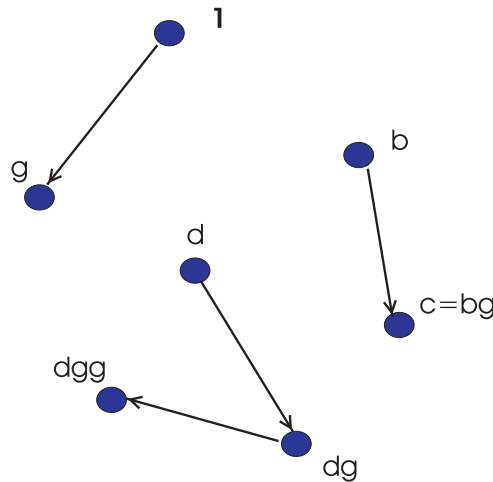
## To obtain a typical regular permutation group

**Take any:** group  $G$ , set  $\Omega := G$

**Define action:**  $\rho_g : x \rightarrow xg$  for  $g \in G, x \in \Omega$  ( $\rho_g$  is bijection)

**Form permutation group:**  $G_R = \{\rho_g | g \in G\} \leq \text{Sym}(\Omega)$

$G_R \cong G$  and  $G_R$  is regular



## Regular perm. groups 'equal' Cayley graphs!

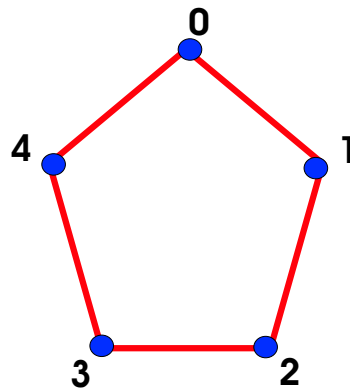
**Given generating set  $S$ :**  $G = \langle S \rangle$  with  $s \in S \iff s^{-1} \in S$

**Define graph:** vertex set  $\Omega = G$ , edges  $\{g, sg\}$  for  $g \in G, s \in S$

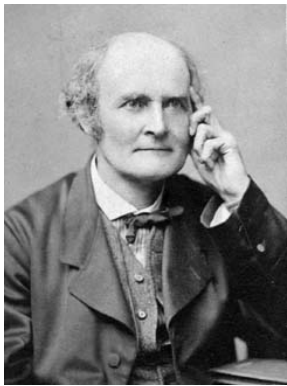
**Cayley graph:**  $\Gamma = \text{Cay}(G, S)$

**Always:**  $G_R \leq \text{Aut}(\Gamma)$ , so Cayley graphs are always vertex-transitive

**Example:**  $G = \mathbb{Z}_5, S = \{1, 4\}$ , obtain  $\Gamma = C_5, \text{Aut}(\Gamma) = D_{10}$ .



## Arthur Cayley 1821-1895



*'As for everything else, so for a mathematical theory: beauty can be perceived but not explained.'*

**1849**

admitted to the bar; 14 years as lawyer

**1863**

Sadleirian Professor (Pure Maths) Cambridge

**Published**

900 mathematical papers and notes

**Matrices**

led to Quantum mechanics (Heisenberg)

**Also**

geometry, group theory

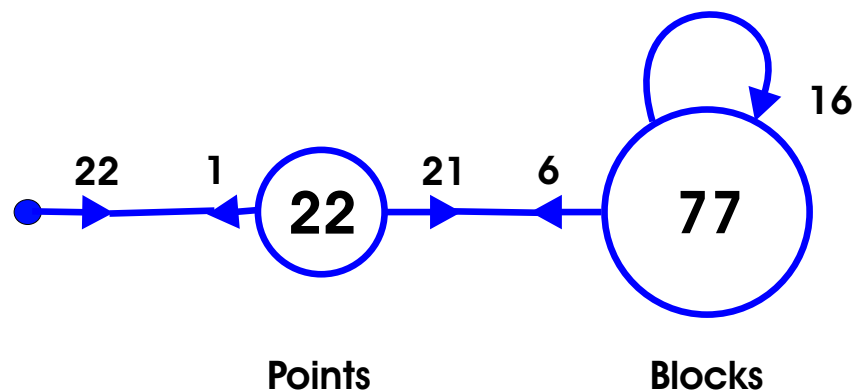
## Still to come!

- \* Recognition problem
- \* Primitive Cayley graphs
- \*  $B$ -groups
- \* Burnside, Schur and Wielandt
- \* Exact group factorisations
- \* Use of finite simple groups

**Slightly anachronistic – I use language of Cayley graphs**

## A recognition problem

**Higman Sims graph**  $\Gamma = \Gamma(HS)$ : 100 vertices, valency 22,  $A := \text{Aut}(\Gamma) = \text{HS}.2$  Related to Steiner system  $S(3, 6, 22)$ ;  $A_\alpha = M_{22}.2$ .



**Lead to discovery of:** HS by D. G. Higman and C. C. Sims in 1967

**Not obvious:**  $\Gamma(HS) = \text{Cay}(G, S)$  for  $G = (Z_5 \times Z_5) : [4]$

## Recognising Cayley graphs

**Aut( $\Gamma$ ):** may be much larger than  $G_R$  for  $\Gamma = \text{Cay}(G, S)$

**Some constructions:** may hide the fact that  $\Gamma$  is a Cayley graph.

**Question:** How to decide if a given (vertex-transitive) graph  $\Gamma$  is a Cayley graph?

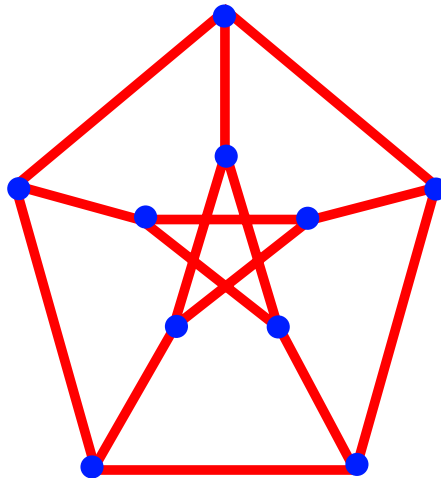
**Characterisation:**  $\Gamma$  is a Cayley graph  $\iff \exists G \leq \text{Aut}(\Gamma)$ , with  $G$  a regular permutation group on the vertex set.

**In this case:**  $\Gamma \cong \text{Cay}(G, S)$  for some  $S$ .



Not all vertex-trans graphs are Cayley, but ...

Petersen graph  $P$  is vertex-transitive and non-Cayley:  
(smallest example)



**Answer:** first determine  $\text{Aut}(\Gamma)$ ; second search for regular  $G$ .

**Both computationally difficult problems in general!**

**Empirical evidence:** Cayley graphs seem 'common' among vertex-transitive graphs.

e.g. There are 15,506 vertex-transitive graphs with 24 vertices  
Of these, 15,394 are Cayley graphs (Gordon Royle, 1987)

**McKay-Praeger Conjecture:** (empirically based) As  $n \rightarrow \infty$

$$\frac{\text{Number of Cayley graphs on } \leq n \text{ vertices}}{\text{Number of vertex-transitive graphs on } \leq n \text{ vertices}} \rightarrow 1$$

## Various research directions regarding vertex-transitive/Cayley graph question

**‘Non-Cayley Project’:** For some  $n$ , all vertex-transitive graphs on  $n$  vertices are Cayley. Determine all such  $n$ . (Dragan Marušić)

**Study ‘normal Cayley graphs’:** that is,  $G_R \triangleleft \text{Aut}(\text{Cay}(G, S))$   
(Ming Yao Xu)

**Study ‘primitive Cayley graphs’:** that is,  $\text{Aut}(\text{Cay}(G, S))$  vertex-primitive (only invariant vertex-partitions are trivial);

**Note each  $H < G$ :** gives  $G_R$ -invariant vertex-partition into  $H$ -cosets; for each  $H$  need extra **constraint-breaking** autos.

We will follow the last one in this lecture.

## Primitive Cayley graphs

**Given  $G$ :** when can we find (interesting) primitive  $\text{Cay}(G, S)$ ?

**Generic example:** If  $S = G \setminus \{1\}$  then  $\Gamma = \text{Cay}(G, S)$  is the complete graph  $K_n$ , where  $n = |G|$  and  $\text{Aut}(\Gamma) = \text{Sym}(G) \cong S_n$  (and hence primitive)

**Higman-Sims graph  $HS$ :** is a primitive Cayley graph

## William Burnside 1852-1927

**1897:** published *The Theory of Groups of Finite Order*, first treatise on group theory in English.

**'Burnside 1911':** If  $G = Z_{p^m}$ ,  $p$  prime and  $m \geq 2$ , then the only primitive  $\text{Cay}(G, S)$  is complete graph  $K_{p^m}$ .



**‘Burnside 1911’:** If  $G = Z_{p^m}$ ,  $p$  prime and  $m \geq 2$ , then the only primitive  $\text{Cay}(G, S)$  is complete graph  $K_{p^m}$ .

Burnside’s real result was

**Burnside 1911:** If  $G = Z_{p^m}$ ,  $p$  prime and  $m \geq 2$ , then the only primitive groups  $H$  such that  $G_R < H \leq S_{p^m}$  are 2-transitive.

[2-transitive means all ordered point-pairs equivalent under the group]

## Work inspired by Burnside's result

**Schur 1933:**  $G = Z_n$ ,  $n$  not prime, then the only primitive  $\text{Cay}(G, S)$  is complete graph  $K_n$ .



**Issai Schur 1875-1941**

**Led to:** Schur's theory of  $S$ -rings (Wielandt School); coherent configurations (D. G. Higman), and centraliser algebras and Hecke algebras.

**Burnside 1921:** had tried to prove same result for  $G$  abelian but not elementary abelian; error pointed out by Dorothy Manning 1936

**Wielandt 1935:** Same result if  $G$  abelian,  $|G|$  not prime, with at least one cyclic Sylow subgroup

**Wielandt 1950:** Same result if  $G$  dihedral group (first infinite family of non-abelian such groups)

**Wielandt 1955:** Call a group  $G$  of order  $n$  a **B-group** if  
 $\text{Cay}(G, S)$  primitive  $\Rightarrow \text{Cay}(G, S) = K_n$

**Thus:** Many abelian groups, certainly most cyclic groups and all dihedral groups are B-groups



## Helmut Wielandt 1910-2001



1964: published influential book  
*Finite Permutation Groups*

*'It is to one of Schur's seminars that I owe the stimulus to work with permutation groups, my first research area. At that time the theory had nearly died out. . . . so completely superseded by the more generally applicable theory of abstract groups that by 1930 even important results were practically forgotten - to my mind unjustly.'*

## Back to Wielandt's theory of $B$ -groups:

**When proposed 1960's, 1970's:** focus on the potential  $B$ -group;  
much interest in 2-transitive groups

Other work by Bercov, W. R. Scott, Enomote, Kanazawa in 1960's

**Recent work:** uses classification of the finite simple groups (FSGC)  
(e.g. all finite 2-transitive groups now known)

**Focuses on:**  $G < H \leq \text{Sym}(\Omega)$  with  
 $G$  regular,  $\Gamma = \text{Cay}(G, S)$ ,  $H = \text{Aut}(\Gamma)$  primitive not 2-transitive

## A group-theoretic problem

**Find all ‘Wielandt triples’**  $(G, H, \Omega)$ :  $G < H \leq \text{Sym}(\Omega)$  with  $G$  regular,  $H$  primitive and not 2-transitive

**Always yields:** primitive  $\Gamma = \text{Cay}(G, S)$  with  $H \leq \text{Aut}(\Gamma)$

**Aim to understand:** primitive groups  $H$ ; primitive Cayley graphs  $\Gamma$ , other applications (e.g. constructing semisimple Hopf algebras)

Problem not new, but new methods available to attack it.

## An illustration

**G. A. Miller 1935:** for  $H \cong A_n$  (alternating group) gave examples of Wielandt triples  $(G, A_n, \Omega)$ , and also gave examples of  $n$  for which no Wielandt triples exist



**George Abram Miller 1863-1951**

**Wiegold & Williamson 1980:** classified all  $(G, H, \Omega)$  with  $H \cong A_n$  or  $S_n$

## A fascinating density result

**Cameron, Neumann, Teague 1982:** for ‘almost all  $n$ ’ (subset of density 1), only primitive groups on  $\Omega = \{1, \dots, n\}$  are  $A_n$  and  $S_n = \text{Sym}(\Omega)$ .

**Technically:** If  $N(x) :=$  Number of  $n \leq x$  where  $\exists H < S_n$  with  $H$  primitive and  $H \neq A_n$ , then  $\frac{N(x)}{x} \rightarrow 0$  as  $x \rightarrow \infty$



**Immediate consequence:** set of integers  $n$  such that no Wielandt triples  $(G, H, \Omega)$  with  $G$  of order  $n$ , has density 1 in  $\mathbb{N}$

## Types of primitive groups $H$

- Results of Liebeck, Praeger, Saxl 2000:**  $(G, H, \Omega)$  Wielandt triple
- (1) from type of  $H$  clear always exists regular subgroup  $G$
  - (2)  $H$  almost simple ( $T \leq H \leq \text{Aut}(T)$ ,  $T$  simple)
  - (3)  $H$  product action

**Comments:** (2) (resolved by LPS, 2009+) and (3) (still open);



## Other work on Wielandt triples $(G, H, \Omega)$

**G. A. Jones 2002:** found all  $H$  with  $G$  cyclic

**Cai Heng Li, 2003, 2007:** found all  $H$  with  $G$  abelian or dihedral

**Li & Seress, 2005:** found all  $H$  if  $n$  squarefree and  $G \subseteq \text{Soc}(H)$ .

**Giudici, 2007:** found all  $H, G$  if  $H$  sporadic almost simple

**Baumeister, 2006, 2007:** found all  $H, G$ , with  $H$  sporadic, or exceptional Lie type, or unitary or  $O_8^+(q)$

**Major open case for case (2):**  $H$  almost simple classical group  
(the heart of the problem)

## Wielandt triples $(G, H, \Omega)$ , $H$ classical almost simple

**Principal tool:** LPS 1990 classification of 'maximal factorisations'  
 $H = AK$  of almost simple groups  $H$ , both  $A$  and  $K$  maximal

**Then comes:** a lot of hard work

**Series of theorems:** for each type of classical group (PSL, PSp, PSU,  $P\Omega^\epsilon$ ), classifying possibilities for transitive subgroups on various kinds of subspaces

**Then comes:** a lot more hard work



## LPS 2008+ Results

**Main Theorem:** Complete lists of all primitive actions of almost simple classical groups  $H$ , and regular subgroups  $G$

**What does it teach us?:** tight explicit restrictions on regular subgroups  $G$  of almost simple primitive groups  $H \neq A_n, S_n$

- 1:**  $|\Omega| > 3 \times 29! \sim 2.65 \times 10^{31} \Rightarrow G$  one of  
metacyclic,  $|G| = (q^d - 1)/(q - 1)$   
or subgroup of  $A\Gamma L(1, q)$ ,  $|G| = q(q - 1)/2$  odd  
or  $A_{p-1}, S_{p-1}, A_{p-2} \times Z_2$  for prime  $p \equiv 1 \pmod{4}$ ,  
or  $A_{p^2-2}$  for prime  $p \equiv 3 \pmod{4}$

where  $q$  is a prime power, and  $p$  is prime [Compare with CNT result]

## Almost simple groups $G$ in Wielandt triples

Complete information about almost simple groups  $G$ : when they occur in Wielandt triples  $(G, H, \Omega)$ , and the kinds of primitive groups  $H$ .

**2:** Suppose  $G$  almost simple. Then  $\exists$  Wielandt triple  $(G, H, \Omega) \iff G$  is simple or  $G \in \{S_{p-2}$  ( $p$  prime),  $\text{PSL}(2, 16).4$ ,  $\text{PSL}(3, 4).2\}$

**3:** Suppose  $G$  is simple or one of  $S_{p-2}$ ,  $\text{PSL}(2, 16).4$ ,  $\text{PSL}(3, 4).2$  and  $(G, H, \Omega)$  Wielandt triple. Then either  $G \times G \leq H \leq \text{Hol}(G).2$  with  $G$  simple, or  $H$  in explicit short list.

## What does it teach us about primitive Cayley graphs?

**Case of  $G$  simple:** two types of primitive Cayley graphs  $\Gamma = \text{Cay}(G, S)$

$$(1) \quad S = G \setminus \{1\} \quad \text{Aut}(\Gamma) = \text{Sym}(G)$$

$$(2) \quad S = \text{union of } G\text{-conjugacy classes} \quad \text{Aut}(\Gamma) \geq G \times G$$

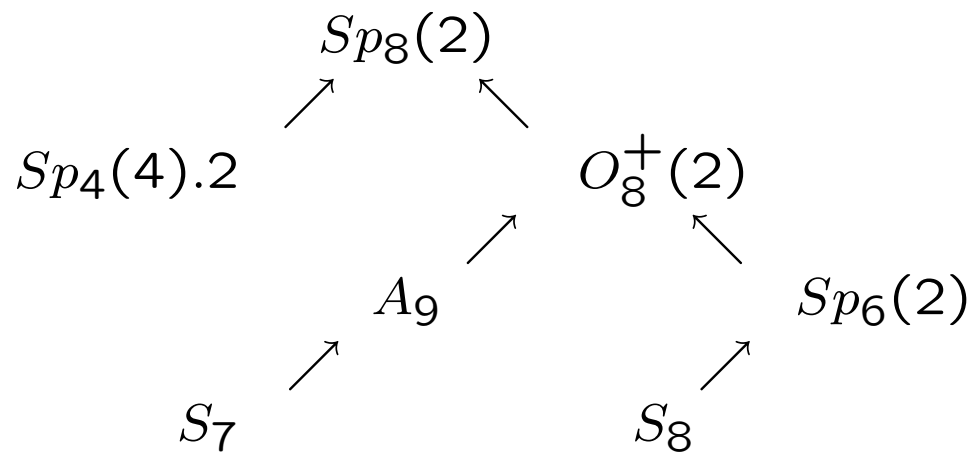
**LPS:**  $G$  simple and  $\Gamma = \text{Cay}(G, S)$  vertex-primitive  $\Rightarrow$

(1) or (2) or  $G = A_{p^2-2}$  for prime  $p \equiv 3 \pmod{4}$

In last case there are examples for each  $p$

## What else did we notice: curiosity

**Seven primitive groups of degree 120:** share a common regular subgroup (namely  $S_5$ ). Lattice of containments among these groups shown below.



## Summary

- 1:** Cayley graphs arise in diverse areas of mathematics
- 2:** Arose in early years of group theory
- 3:** Group theoretic versions of primitive Cayley graph problems of intense interest for almost 100 years
- 4:** Primitive Cayley graph classifications – require FSGC – astonishingly complete
- 5:** Open problem – product case – subject of current research