### A categorical invariant of flow equivalence of shifts

Alfredo Costa

Centre for Mathematics of the University of Coimbra

(Joint work with Benjamin Steinberg, City College of New York)

Automata Theory and Symbolic Dynamics Workshop, PIMS, June 4<sup>th</sup>, 2013

3

イロト イポト イヨト イヨト

Let L be a language of  $A^+$ . The syntactic semigroup of L is the quotient of  $A^+$  by the congruence

$$u \equiv_L v \Leftrightarrow C(u) = C(v)$$

where

$$C(w) = \{(z,t) \in A^* \mid zwt \in L\}.$$



ㅋ ㅋ

Sac

2 / 21

イロト イヨト イヨト イ

## The syntactic semigroup

Let  $\mathscr{X}$  be a subshift of  $A^{\mathbb{Z}}$ . If  $\mathscr{X}$  is strictly contained in  $A^{\mathbb{Z}}$ , then the syntactic semigroup of  $L(\mathscr{X})$ , as a subset of  $A^+$ , is a semigroup with a zero.



The elements of  $A^+ \setminus L$  form a class, which is the zero element

To avoid treating  $A^{\mathbb{Z}}$  differently, we add a zero to the syntactic semigroup of  $L(A^{\mathbb{Z}})$ .

Notation for the syntactic semigroup (with zero):  $S(\mathscr{X})$ .

3 / 21

The transition semigroup of the Fischer cover of an irreducible sofic shift  $\mathscr{X}$  is isomorphic to  $S(\mathscr{X})$ .



Alfredo Costa (CMUC	A categorical invariant of flow equivalence	PIMS, Jur	e 4 <sup>th</sup> , 2013	4 / 21

化白豆 化氟医 化苯酚 化苯酚 二苯

nan

The transition semigroup of the Krieger cover of a sofic shift  $\mathscr X$  is isomorphic to  $S(\mathscr X).$ 



<ロト < 四ト < 三ト < 三ト</p>

3

Let S be a semigroup. The Karoubi envelope of S is a category K(S) defined by:

- the objects are the idempotents  $e = e^2$  of S;
- the arrows f → e are the triples (e, s, f) of elements of S such that s = esf;
  the composition of arrows is given by

(e, s, f)(f, t, g) = (e, st, g).

6 / 21

イロト 不得下 不可下 不可下 一日

Let S be a semigroup. The Karoubi envelope of S is a category K(S) defined by:

- the objects are the idempotents  $e = e^2$  of S;
- the arrows f → e are the triples (e, s, f) of elements of S such that s = esf;
  the composition of arrows is given by

(e,s,f)(f,t,g) = (e,st,g).

6 / 21

《曰》 《國》 《臣》 《臣》 三臣 …

Let S be a semigroup. The Karoubi envelope of S is a category K(S) defined by:

- the objects are the idempotents  $e = e^2$  of S;
- the arrows  $f \rightarrow e$  are the triples (e, s, f) of elements of S such that s = esf;

the composition of arrows is given by

$$(e,s,f)(f,t,g) = (e,st,g).$$



Let S be a semigroup. The Karoubi envelope of S is a category K(S) defined by:

- the objects are the idempotents  $e = e^2$  of *S*;
- the arrows  $f \rightarrow e$  are the triples (e, s, f) of elements of S such that s = esf;

the composition of arrows is given by

$$(e,s,f)(f,t,g) = (e,st,g).$$



Let S be a semigroup. The Karoubi envelope of S is a category K(S) defined by:

- the objects are the idempotents  $e = e^2$  of S;
- the arrows  $f \rightarrow e$  are the triples (e, s, f) of elements of S such that s = esf;

the composition of arrows is given by

$$(e,s,f)(f,t,g) = (e,st,g).$$



Let S be a semigroup. The Karoubi envelope of S is a category K(S) defined by:

- the objects are the idempotents  $e = e^2$  of S;
- the arrows  $f \rightarrow e$  are the triples (e, s, f) of elements of S such that s = esf;

the composition of arrows is given by

$$(e,s,f)(f,t,g) = (e,st,g).$$



Note that the it suffices to work with  $LU(S) = \{s \in S \mid s = esf, e = e^2, f = f^2\}$ :

$$K(S) = K(LU(S))$$

Alfredo Costa (CMUC)





- The Karoubi envelope of S(𝔅) is the Karoubi envelope of S(𝔅) \ {[2,3,\_]}.
- The Karoubi envelope of an irreducible finite shift is equivalent to the Karoubi envelope of {0,1}.
- The Karoubi envelope of the minimal shift is the trivial monoid.

Alfredo Costa (CMUC)

Denote by  $\mathbb{K}(\mathscr{X})$  the Karoubi envelope of  $S(\mathscr{X})$ .

Theorem (Steinberg & AC)

If  $\mathscr{X}$  and  $\mathscr{Y}$  are flow equivalent, then  $\mathbb{K}(\mathscr{X})$  and  $\mathbb{K}(\mathscr{Y})$  are equivalent.

1

8 / 21

◆□ > ◆□ > ◆豆 > ◆豆 >

#### Corollary

If  $\mathscr{X}$  and  $\mathscr{Y}$  are flow equivalent shifts such that  $S(\mathscr{X})$  and  $S(\mathscr{Y})$  are monoids, then  $S(\mathscr{X})$  and  $S(\mathscr{Y})$  are isomorphic.



		puter the same	- 1
Alfredo Costa (CMUC)	A categorical invariant of flow equivalence	PIMS, June 4 <sup>-11</sup> , 2013	9 / 21

# A "monoidal" example



*[1,2,3,4]	*[1, 2, 3, 4]
[2,1,4,3]	[2, 1, 4, 3]
[1,4,_,_][2,3,_,]	[3, _, _, 4] [4, _, _, 3]
[4,1,_,][3,2,_,]	[_, 3, 4, _] [_, 4, 3, _]
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$
(*[]	*[]

Alfredo Costa (CMUC)

A categorical invariant of flow equivalence

10 / 21

# Action of $\mathbb{K}(\mathscr{X})$

- Extend the Krieger cover to a complete automaton by adding a sink state  $\emptyset$ .
- Let Q be the set of vertices of the extended automaton.
- An arrow (e, s, f) of  $\mathbb{K}(\mathscr{X})$  defines the mapping

$$\begin{array}{rcl} A_{\mathscr{X}}(e,s,f) \colon & Q \cdot e & \to & Q \cdot f \\ & q & \mapsto & q \cdot s \end{array}$$



The correspondence  $(e, s, f) \mapsto A_{\mathscr{X}}(e, s, f)$  is a functor.

11 / 21

ヘロト ヘポト ヘヨト ヘヨ

#### Theorem

If  $\mathscr{X}$  and  $\mathscr{Y}$  are flow equivalent shifts, then the actions  $\mathbb{A}_{\mathscr{X}}$  and  $\mathbb{A}_{\mathscr{Y}}$  are equivalent.

That is, there is an equivalence functor  $F: \mathbb{K}(\mathscr{X}) \to \mathbb{K}(\mathscr{Y})$  and a natural isomorphism  $\eta: \mathbb{A}_{\mathscr{X}} \to \mathbb{A}_{\mathscr{Y}} \circ F$  such that...

Alfredo Costa (CMUC)

・ロト ・四ト ・ヨト ・ヨト

PIMS, June 4th, 2013

э

12 / 21

### The proper comunication graph

The proper communication graph of a (directed) graph G is defined as follows:

- **I** take the set PC(G) of nontrivial (i.e. having at least one edge) strongly connected components of G,
- 2 for  $C_1, C_2 \in PC(G)$ , make  $C_1 \leq C_2$  if there is a path from a vertex of  $C_1$  to a vertex of  $C_2$ ,
- **S** the proper communication graph of G is the acyclic graph induced by the poset  $(PC(G), \leq)$ .



Theorem (Bates, Eilers & Pask, 2011)

The proper communication graph of the Krieger cover of a sofic shift is a flow equivalence invariant.

Alfredo Costa (CMUC)

A categorical invariant of flow equivalence

PIMS, June 4th, 2013

13 / 21

## The proper comunication graph



Let  $LU(\mathscr{X}) = \{s \in S(\mathscr{X}) \mid s = esf$ , for some idempotents  $e, f \in S(\mathscr{X})\}$ . Let q, r be vertices stabilized by some idempotent.

Then  $q \leq r$  if and only if  $rLU(\mathscr{X}) \subseteq qLU(\mathscr{X})$ .

#### Generalization

The poset

 $\{qLU(\mathcal{X}) \mid q \text{ is stabilized by some idempotent}\}$ 

is invariant under flow equivalence.

#### The action: an example





#### Theorem

If  $\mathscr{X}$  and  $\mathscr{Y}$  are flow equivalent synchronizing shifts, then  $\mathbb{K}(\mathscr{X})$  and  $\mathbb{K}(\mathscr{Y})$  have equivalent actions over the corresponding Fischer covers.



Figure: Fischer covers of two synchronizing shifts.

In the first shift, the rank of every block of the shift is one or infinite. In the second shift, the word *ac* acts as an idempotent of rank two.

Alfredo Costa (CMUC)

A categorical invariant of flow equivalence

A (10) b (10) E

PIMS, June 4th, 2013

16 / 21

### An invariant hailing from Green's relations



Legend for the label (i, G, r) of a  $\mathcal{D}$ -class D:

- i = 1 if D is regular, i = 0 otherwise;
- *G* is the (isomorphism class) of the Schützenberger group of *D*;

• r is the rank of the action of the elements of the  $\mathcal{D}$ -class on the Fischer cover.

An analogous result holds replacing the Fischer cover by the Krieger cover, which is valid for all shifts (not necessarily sofic).

ヘロト 人間ト くヨト くほ

### An invariant hailing from Green's relations







Alfredo Costa (CMUC)

A categorical invariant of flow equivalence

< 17 ▶

PIMS, June 4th, 2013 18 / 21

DQC

N. Jonoska constructed a conjugacy invariant for a reducible shift  $\mathscr X$ , the poset of subsynchronizing subshifts of  $\mathscr X.$ 

These subshifts are the unions of subshifts whose finite blocks are factors of right contexts of a magic word for  $L(\mathscr{X})$ (a word *m* is magic if *m* is synchronizing and  $mA^*m \cap L(\mathscr{X}) \neq \emptyset$ ).

Using our main result, we showed that this poset is a flow invariant.

ヘロト 不得下 不可下 不可下

Let G be a finite (directed graph). Let G' be the graph obtained from G by adjoining to each edge  $x : u \to v$  an inverse edge  $x^{-1} : v \to u$ , establishing a bijection  $x \in E(G) \mapsto x^{-1} \in E(G)^{-1}$ .

Presentation of the graph inverse semigroup  $P_G$ 

- $\blacksquare P_G \text{ is generated by } E(G) \cup E(G)^{-1} \cup \{0\} \cup \{1_v \mid v \in V(G)\}.$
- 2 the generators are subject to the relations
  - (a)  $1_v$  are local identities

(b) 
$$xx^{-1} = 1_{\alpha x}, x \in E(G)$$

(c) 
$$xy^{-1} = 0$$
 if  $y \neq x$ ,  $x, y \in E(G)$ 

- $P_G$  is an inverse semigroup.
- The words, over the alphabet  $E(G) \cup E(G)^{-1}$ , whose image in  $P_G$  is not 0, define a shift, the Markov-Dyck shift  $D_G$ .

・ロト ・ 戸 ・ ・ ヨ ・ ・

## Classification of Markov-Dyck shifts

If the out-degree of a vertex is always at least one, then the semigroup with zero  $P_G$  is generated by  $E(G) \cup E(G)^{-1}$ .

#### Lemma

Suppose each vertex of G has out-degree at least one. Then  $P_G$  is the syntactic semigroup of  $D_G$  if and only if G has no vertex of in-degree exactly one.

#### Theorem

Let G and H with out-degree always at least one and in-degree never one.

 $D_G$  is flow equivalent to  $D_H \Leftrightarrow G \cong H$ .

#### Proof.

- $D_G$  flow equivalent to  $D_H \Rightarrow \mathbb{K}(P_G)$  equivalent to  $\mathbb{K}(P_H)$
- $\mathbb{K}(P_G)$  equivalent to  $\mathbb{K}(P_H) \Rightarrow G \cong H$

Alfredo Costa (CMUC)

< ロ > < 回 > < 回 > < 回 > < 回</p>

PIMS, June 4th, 2013

21 / 21