

A simple proof of the Wiener-Ikehara Tauberian theorem

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Abel's theorem

- Let

$$f(x) = \sum_{n=0}^{\infty} a_n x^n$$

be a power series with $a_n \in \mathbb{R}$ which converges for $x \in (-1, 1)$. In 1826, Abel proved that if

$$\sum_{n=0}^{\infty} a_n = A,$$

then $\lim_{x \rightarrow 1^-} f(x)$ exists and equals to A .

- Is the converse true? **No.**

Eg. $\lim_{x \rightarrow 1^-} \sum_{n=0}^{\infty} (-1)^n x^n = \lim_{x \rightarrow 1^-} \frac{1}{1+x}$, but $\sum_{n=0}^{\infty} (-1)^n$ does not converge.

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and $\lim_{n \rightarrow \infty} n a_n = 0$, then

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The Wiener-Ikehara Tauberian Theorem

Let

$$G(s) = \sum_{t=1}^{\infty} \frac{b_t}{t^s} \quad (b_t \geq 0)$$

be a Dirichlet series such that

- $G(s)$ is absolutely convergent for $\operatorname{Re}(s) > 1$,
- $G(s)$ can be analytically continued to $\operatorname{Re}(s) \geq 1$, except for a simple pole at $s = 1$ with residue R .

Then as $x \rightarrow \infty$,

$$\sum_{t \leq x} b_t \sim Rx.$$

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Then, we have

$$\sum_{t \leq x} b_t = \frac{c_k}{(k-1)!} x(\log x)^{k-1} + O\left(x(\log x)^{k-2}\right),$$

as $x \rightarrow \infty$, where c_k is the residue of $(s-1)^{k-1}G(s)$ at $s = 1$.

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In general

Let

$$F(s) = \sum_{t=1}^{\infty} \frac{a_t}{t^s}, \quad a_t \in \mathbb{C},$$

be a Dirichlet series such that

- 1 $F(s)$ is absolutely convergent for $\operatorname{Re}(s) > c$, ($c > 0$)
- 2 $F(s)$ can be analytically continued to $\operatorname{Re}(s) \geq c$, except for a pole of order k at $s = c$,
- 3 $|a_t| \leq b_t$, where $b_t \geq 0$ and $G(s) = \sum_{t=1}^{\infty} \frac{b_t}{t^s}$ satisfies conditions (1) and (2) above.

Then, as $x \rightarrow \infty$, we have

$$\sum_{t \leq x} a_t = \frac{c_k}{c(k-1)!} x^c (\log x)^{k-1} + O\left(x^c (\log x)^{k-2}\right),$$

where c_k is the residue of $(s-c)^{k-1} F(s)$ at $s = c$.

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Prime Number Theorem from the W-I theorem

- The Wiener-Ikehara theorem when applied to $-\zeta'(s)/\zeta(s)$ yields the Prime Number Theorem.
- The logarithmic derivative of $\zeta(s)$, for $\text{Re}(s) > 1$, is represented by the Dirichlet series

$$\frac{\zeta'(s)}{\zeta(s)} = - \sum_{n=1}^{\infty} \frac{\Lambda(n)}{n^s}.$$

- The above Dirichlet series satisfies the hypothesis of the Wiener-Ikehara Theorem with a simple pole of residue 1 at $s = 1$. Applying the Tauberian theorem yields

$$\sum_{n \leq x} \Lambda(n) \sim x.$$

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Towards the proof

Let F be a smooth compactly supported function on \mathbb{R} and η_F be the Fourier transform of $F(t)e^t$:

$$F(t)e^t = \int_{\mathbb{R}} \eta_F(u) e^{-iut} du.$$

- In particular,

$$F\left(\frac{\log t}{\log x}\right) = \int_{\mathbb{R}} \eta_F(u) t^{-\frac{1+iu}{\log x}} du.$$

- We have

$$|\eta_F(t)| \ll_A \frac{1}{(1+|t|)^A},$$

for any $A > 0$, as $|t| \rightarrow \infty$.

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Preliminary lemma

Let

$$\int^{(j)} F(t) dt := \int_{t_j=0}^{\infty} \int_{t_{j-1} \geq t_j} \dots \int_{t_1 \geq t_2} F(t_1) dt_1 \dots dt_j.$$

We will require the identity

$$\begin{aligned} \int_{\mathbb{R}} \eta_F(u) \frac{1}{(1+iu)^j} du &= \int^{(j)} F(t) dt \\ &= \frac{1}{(j-1)!} \int_0^{\infty} F(t) t^{j-1} dt. \end{aligned}$$

Proof of the W-I Theorem with higher order pole

- In order to estimate $\sum_{t \leq x} b_t$, we will consider the related sum $\sum_{t \leq x} \frac{b_t}{t}$ and then use partial summation.
- In order to estimate the sum $\sum_{t \leq x} \frac{b_t}{t}$, we consider the infinite series $\sum_{t=1}^{\infty} \frac{b_t}{t}$, twisted by an appropriate smooth bump function $F(t)$ which approximates the indicator function $\mathbf{1}_{[1,x]}$.
- More precisely, for some $\delta > 0$ to be chosen later, we take a smooth function $F(t)$ supported on $[-\delta, 1 + \delta]$ such that $F(t) = 1$ for $0 \leq t \leq 1$.
- Essentially

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- Recalling $F\left(\frac{\log t}{\log x}\right) = \int_{\mathbb{R}} \eta_F(u) t^{-\frac{1+iu}{\log x}} du$, we have

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interchanging the order of summation and integration in the region of absolute convergence of the Dirichlet series.

- For $s \rightarrow 0^+$, we will use the Laurent series expansion:

$$G(1+s) = \frac{c_k}{s^k} + \frac{c_{k-1}}{s^{k-1}} + O\left(\frac{1}{|s|^{k-2}}\right),$$

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Fix $\epsilon \in (0, 1)$. Case 1: $|u| < (\log x)^\epsilon$

The contribution to the integral

$$\int_{\mathbb{R}} \eta_F(u) \sum_{t=1}^{\infty} \frac{b_t}{t^{1+\frac{1+iu}{\log x}}} du$$

from this region is

$$\sum_{j=k-1}^k c_j (\log x)^j \int_{|u| < (\log x)^\epsilon} \frac{\eta_F(u)}{(1+iu)^j} du + O\left((\log x)^{k-2} \int_{\mathbb{R}} \frac{|\eta_F(u)|}{|1+iu|^{k-2}} du\right).$$

The error term is $\ll (\log x)^{k-2}$ due to the rapid decay of $\eta_F(u)$.

Case 2: $|u| \geq (\log x)^\epsilon$

Here one cannot directly use the Laurent series expansion. But we have

$$\left| \sum_{t=1}^{\infty} \frac{b_t}{t^{1+\frac{1+iu}{\log x}}} \right| \leq \sum_{t=1}^{\infty} \frac{b_t}{t^{1+\frac{1}{\log x}}} \\ \ll (\log x)^k,$$

using the Laurent series expansion for $G(1 + (\log x)^{-1})$ as $x \rightarrow \infty$.

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$$\ll (\log x)^k \int_{|u| \geq (\log x)^\epsilon} \eta_F(u) du \ll_A (\log x)^{-A},$$

for any $A > 0$ since in this region we have

$$|\eta_F(u)| \ll_A \frac{1}{(1+|u|)^{3A}} \ll_A (\log x)^{-k-A} \frac{1}{(1+|u|)^A}.$$

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Combining Cases

We have obtained

$$\sum_{t=1}^{\infty} \frac{b_t}{t} F\left(\frac{\log t}{\log x}\right) = \sum_{j=k-1}^k c_j (\log x)^j \int_{|u| < (\log x)^\epsilon} \frac{\eta_F(u)}{(1+iu)^j} du + O\left((\log x)^{k-2}\right).$$

One may replace the integral above by the full integral since

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- Recall that $F(t)$ was a smooth bump function supported on $[-\delta, 1 + \delta]$. Choose

$$0 < \delta < \log \left(\frac{\lfloor x \rfloor + 1}{x} \right) (\log x)^{-k}.$$

With this choice of δ , we obtain

$$\sum_{t \leq x} \frac{b_t}{t} = \frac{c_k (\log x)^k}{k!} + \frac{c_{k-1} (\log x)^{k-1}}{(k-1)!} + O\left((\log x)^{k-2}\right).$$

- Applying partial summation and integration by parts yields

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as needed. □

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Concluding remarks

If $G(s)$ has the Laurent series expansion

$$G(1+s) = \frac{c_k}{s^k} + \frac{c_{k-1}}{s^{k-1}} + \cdots + c_0 + O_m(|s|^m),$$

as $s \rightarrow 0^+$, for some $c_i \in \mathbb{C}$ and $m \in \mathbb{N}$, then we obtain a saving of $(\log x)^m$ in the error term. More precisely, we obtain

$$\sum_{t \leq x} \frac{b(t)}{t} = c_k \frac{(\log x)^k}{k!} + c_{k-1} \frac{(\log x)^{k-1}}{(k-1)!} + \cdots + c_0 + O_m \left(\frac{1}{(\log x)^m} \right).$$

By applying partial summation, one can derive

$$\sum_{t \leq x} b(t) = x \sum_{j=1}^k \frac{(\log x)^{k-j}}{(k-j)!} \lambda_{k-j} + O_m \left(\frac{x}{(\log x)^m} \right),$$

where $\lambda_{k-j} = \sum_{i=0}^{j-1} (-1)^{j-1-i} c_{k-i}$.

Concluding remarks

If $G(s)$ has the Laurent series expansion

$$G(1+s) = \frac{c_k}{s^k} + \frac{c_{k-1}}{s^{k-1}} + \cdots + c_0 + O_m(|s|^m),$$

as $s \rightarrow 0^+$, for some $c_i \in \mathbb{C}$ and $m \in \mathbb{N}$, then we obtain a saving of $(\log x)^m$ in the error term. More precisely, we obtain






$$\sum_{t \leq x} \frac{b(t)}{t} = c_k \frac{(\log x)^k}{k!} + c_{k-1} \frac{(\log x)^{k-1}}{(k-1)!} + \cdots + c_0 + O_m \left(\frac{1}{(\log x)^m} \right).$$

By applying partial summation, one can derive

$$\sum_{t \leq x} b(t) = x \sum_{j=1}^k \frac{(\log x)^{k-j}}{(k-j)!} \lambda_{k-j} + O_m \left(\frac{x}{(\log x)^m} \right),$$

where $\lambda_{k-j} = \sum_{i=0}^{j-1} (-1)^{j-1-i} c_{k-i}$.

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THANK YOU