Moments of real Dirichlet *L*-functions and multiple Dirichlet series

Martin Čech (Charles University, Prague)

CRG weekly seminar on L-functions

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Shen (2021): Leading order term for k = 4 under GRH (based on work of Soundararajan and Young).

Shen, Stucky (2024): Several leading order terms for k = 4 unconditionally (based on work of X. Li).

Conjectures

Keating, Snaith (2000): conjectured the asymptotic formula

$$\sum_{d \le X}^* L(1/2, \chi_d)^k \sim c_k X(\log X)^{k(k+1)/2}$$

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$$\sum_{d \le X}^{*} L(1/2, \chi_d)^k = X P_k(\log X) + O(X^{1-\delta}),$$

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where $P_k(x)$ is a (computable) polynomial of degree k(k+1)/2. They considered the shifted moments

$$\sum_{d\leq X}^{*} L(s_1,\chi_d) \dots L(s_k,\chi_d),$$

where $s_j = 1/2 + \alpha_j \approx \frac{1}{2}$.

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- Showed that if it has a meromorphic continuation beyond a particular point, then the conjecture of Keating and Snaith is true.
- Computed the third moment with an improved error term.
- Predicted a secondary term of size X^{3/4} for the third moment (proved by Diaconu, Whitehead (2021)).

We have

$$\mathcal{L}(s,\chi)^{k} = \sum_{n\geq 1} \frac{\tau_{k}(n)\chi(n)}{n^{s}},$$

where $\tau_k(n) = (\underbrace{1 * \cdots * 1}_k)(n).$

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$$\sum_{d\leq X}^{*} \left(\frac{d}{n}\right) = \begin{cases} O(n^{1/2+\varepsilon}), & \text{if } n\neq \Box, \\ \frac{X}{2\zeta(2)}a(n) + O(n^{1/2+\varepsilon}), & \text{if } n=\Box, \end{cases}$$

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The recipe

The approximate functional equation:

$$L(s, \chi_d) \approx \sum_{n \leq x} \frac{\chi_d(n)}{n^s} + X_d(s) \sum_{n \leq y} \frac{\chi_d(n)}{n^{1-s}},$$

where

$$\mathcal{L}(s,\chi_d) = \mathcal{X}_d(s)\mathcal{L}(1-s,\chi_d), \qquad \mathcal{X}_d(s) = d^{1/2-s}\mathcal{X}(s), \qquad \mathcal{X}(s) = \pi^{s-1/2}\frac{\Gamma\left(\frac{1-s}{2}\right)}{\Gamma\left(\frac{s}{2}\right)}.$$

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Here $xy \simeq d$, it is important to have both parts.

 $\sum_{d\leq X}^{*} L(s_1,\chi_d) \dots L(s_k,\chi_d)$

The recipe for real Dirichlet L-functions

$$\sum_{d\leq X}^{*} L(s_1,\chi_d)\ldots L(s_k,\chi_d) \approx \sum_{d\leq X}^{*} \prod_{j=1}^{k} \left(\sum_{n\leq x} \frac{\chi_d(n)}{n^{s_j}} + X_d(s_j) \sum_{n\leq y} \frac{\chi_d(n)}{n^{1-s_j}} \right).$$

$$\sum_{d\leq X}^{*} L(s_1,\chi_d) \dots L(s_k,\chi_d) \approx \sum_{d\leq X}^{*} \prod_{j=1}^{k} \left(\sum_{n\leq x} \frac{\chi_d(n)}{n^{s_j}} + X_d(s_j) \sum_{n\leq y} \frac{\chi_d(n)}{n^{1-s_j}} \right)$$

Let $J \subset \{1, ..., k\}$ be the set of indices for which we take the second part of the approximate functional equation.

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$$s_j^J = egin{cases} s_j, & ext{if } j \notin J, \ 1-s_j, & ext{if } j \in J. \end{cases}$$

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A typical term after expanding the product is

$$\sum_{d\leq X}^* \prod_{j\in J} X_d(s_j) \sum_{n_1,\ldots,n_k\geq 1} \frac{\chi_d(n_1\ldots n_k)}{n_1^{s_1'}\ldots n_k^{s_k'}}.$$

 $\sum_{d\leq X}^* \prod_{j\in J} X_d(s_j) \sum_{n_1,\ldots,n_k} \frac{\chi_d(n_1\ldots n_k)}{n_1^{s_1^J}\ldots n_k^{s_k^J}}$
$$\sum_{d\leq X}^{*} \prod_{j\in J} X_{d}(s_{j}) \sum_{n_{1},\ldots,n_{k}} \frac{\chi_{d}(n_{1}\ldots n_{k})}{n_{1}^{s_{j}^{J}}\ldots n_{k}^{s_{k}^{J}}} = \prod_{j\in J} X(s_{j}) \sum_{n_{1},\ldots,n_{k}} \frac{1}{n_{1}^{s_{1}^{J}}\ldots n_{k}^{s_{k}^{J}}} \sum_{d\leq X}^{*} \frac{\chi_{d}(n_{1}\ldots n_{k})}{d^{j\in J}}.$$

$$\sum_{d\leq X}^{*}\prod_{j\in J}X_{d}(s_{j})\sum_{n_{1},\ldots,n_{k}}\frac{\chi_{d}(n_{1}\ldots n_{k})}{n_{1}^{s_{1}^{J}}\ldots n_{k}^{s_{k}^{J}}}=\prod_{j\in J}X(s_{j})\sum_{n_{1},\ldots,n_{k}}\frac{1}{n_{1}^{s_{1}^{J}}\ldots n_{k}^{s_{k}^{J}}}\sum_{d\leq X}^{*}\frac{\chi_{d}(n_{1}\ldots n_{k})}{d^{j\in J}}.$$

 $\chi_d(n_1 \dots n_k)$ is oscillating unless $n_1 \dots n_k = \Box$.

$$\sum_{d\leq X}^{*} \prod_{j\in J} X_{d}(s_{j}) \sum_{n_{1},\ldots,n_{k}} \frac{\chi_{d}(n_{1}\ldots n_{k})}{n_{1}^{s_{J}^{-}}\ldots n_{k}^{s_{k}^{-}}} = \prod_{j\in J} X(s_{j}) \sum_{n_{1},\ldots,n_{k}} \frac{1}{n_{1}^{s_{J}^{-}}\ldots n_{k}^{s_{k}^{-}}} \sum_{d\leq X}^{*} \frac{\chi_{d}(n_{1}\ldots n_{k})}{d^{j\in J}}.$$

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$$\sum_{d \le X}^{*} \chi_d(n) = \begin{cases} \frac{X}{2\zeta(2)} a(n) + \text{small}, & \text{if } n = \Box, \\ \text{small}, & \text{if } n \neq \Box, \end{cases}$$

where $a(n) = \prod_{p|n} \frac{p}{p+1}$.

$$\sum_{d\leq X}^{*} \prod_{j\in J} X_{d}(s_{j}) \sum_{n_{1},\dots,n_{k}} \frac{\chi_{d}(n_{1}\dots n_{k})}{n_{1}^{s_{j}^{J}}\dots n_{k}^{s_{k}^{J}}} = \prod_{j\in J} X(s_{j}) \sum_{n_{1},\dots,n_{k}} \frac{1}{n_{1}^{s_{1}^{J}}\dots n_{k}^{s_{k}^{J}}} \sum_{d\leq X}^{*} \frac{\chi_{d}(n_{1}\dots n_{k})}{d^{j\in J}}$$

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where $a(n) = \prod_{p|n} \frac{p}{p+1}$. If $n = \Box$, partial summation yields

$$\sum_{d\leq X}^* \frac{\chi_d(n)}{d^\beta} \sim \frac{X^{1-\beta}}{2\zeta(2)(1-\beta)} a(n)$$

 $\prod_{j\in J} X(s_j) \sum_{n_1,\ldots,n_k} \frac{1}{n_1^{s_1'}\ldots n_k^{s_k'}} \sum_{d< X}^* \frac{\chi_d(n_1\ldots n_k)}{\sum_{d\in J} \sum_{s_j-\frac{|J|}{2}}}.$

$$\prod_{j\in J} X(s_j) \sum_{n_1,\ldots,n_k} \frac{1}{n_1^{s_1'} \ldots n_k^{s_k'}} \sum_{d\leq X}^* \frac{\chi_d(n_1 \ldots n_k)}{d^{j\in J}}.$$

We thus obtain a term of the form

$$T_{J}(X; s_{1}, \dots, s_{k}) = \frac{X^{1 + \frac{|J|}{2} - \sum_{j \in J} s_{j}}}{2\zeta(2) \left(1 + \frac{|J|}{2} - \sum_{j \in J} s_{j}\right)} \prod_{j \in J} X(s_{j}) \sum_{n_{1} \dots n_{k} = \Box} \frac{a(n_{1} \dots n_{k})}{n_{1}^{s_{1}^{J}} \dots n_{k}^{s_{k}^{J}}}$$

Recall:

$$\sum_{d\leq X}^{*} L(s_1,\chi_d) \dots L(s_k,\chi_d) \approx \sum_{d\leq X}^{*} \prod_{j=1}^{k} \left(\sum_{n\leq x} \frac{\chi_d(n)}{n^{s_j}} + X_d(s_j) \sum_{n\leq y} \frac{\chi_d(n)}{n^{1-s_j}} \right).$$

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For $J \subset \{1,\ldots,k\}$, we have a term

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The conjecture is that the moment is the sum of all the 2^k terms:

$$\sum_{d\leq X}^{*} L(s_1,\chi_d) \dots L(s_k,\chi_d) = \sum_{J\subset\{1,\dots,k\}} T_J(X;s_1,\dots,s_k) + \text{Error}.$$

$$T_J(X; s_1, \ldots, s_k) = \frac{X^{1 + \frac{|J|}{2} - \sum_{j \in J} s_j}}{2\zeta(2) \left(1 + \frac{|J|}{2} - \sum_{j \in J} s_j\right)} \prod_{j \in J} X(s_j) \sum_{n_1 \ldots n_k = \Box} \frac{a(n_1 \ldots n_k)}{n_1^{s_1'} \ldots n_k^{s_k'}}.$$

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- In practice, the terms often arise in a different form.

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The 1-swap terms appear in a different form.

Multiple Dirichlet series

Perron's formula gives

$$\sum_{d\leq X}^{*} L(s_1,\chi_d)\ldots L(s_k,\chi_d) = \frac{1}{2\pi i} \int_{(2)} A(s_1,\ldots,s_k,w) \cdot \frac{X^w}{w} dw,$$

where

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Strategy:

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Strategy:

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- Meromorphic continuation
- Poles and residues
- Functional equations

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$$= \sum_{\substack{n_{1},...,n_{k}\geq 1}} \frac{L_{D}\left(w,\left(\frac{\cdot}{n_{1}...n_{k}}\right)\right)}{n_{1}^{s_{1}}...n_{k}^{s_{k}}},$$

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If $n_1 \dots n_k = \Box$, $L_D\left(w, \left(\frac{\cdot}{n_1 \dots n_k}\right)\right)$ has a pole at w = 1 with residue $\frac{a(n_1 \dots n_k)}{2\zeta(2)}$.

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If $n_1 \dots n_k = \Box$, $L_D\left(w, \left(\frac{\cdot}{n_1 \dots n_k}\right)\right)$ has a pole at w = 1 with residue $\frac{a(n_1 \dots n_k)}{2\zeta(2)}$. Thus $A(s_1, \dots, s_k, w)$ has a pole at w = 1 with residue

$$\frac{1}{2\zeta(2)}\sum_{n_1\ldots n_k=\Box}\frac{a(n_1\ldots n_k)}{n_1^{s_1}\ldots n_k^{s_k}}.$$

Contribution of the pole at w = 1

$$\sum_{d\leq X}^{*} L(s_1,\chi_d)\ldots L(s_k,\chi_d) = \frac{1}{2\pi i} \int_{(c)} A(s_1,\ldots,s_k,w) \cdot \frac{X^w}{w} dw.$$

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This is exactly the diagonal term $T_{\emptyset}(X; s_1, \ldots, s_k)$ from the recipe!

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The other terms come from functional equations of $A(s_1, \ldots, s_k, w)$.

Let $j \in \{1, \ldots, k\}$. We can use the functional equation

$$L(s_j, \chi_d) = d^{1/2-s_j} X(s_j) L(1-s_j, \chi_d)$$
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$$\begin{aligned} A(s_1,\ldots,s_k,w) &= \sum_{d\geq 1}^* \frac{L(s_1,\chi_d)\ldots L(s_j,\chi_d)\ldots L(s_k,\chi_d)}{d^w} \\ &= X(s_j) \sum_{d\geq 1}^* \frac{L(s_1,\chi_d)\ldots L(1-s_j,\chi_d)\ldots L(s_k,\chi_d)}{d^{w+s_j-1/2}} \end{aligned}$$

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Let σ_j denote the transformation

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Let σ_i denote the transformation

 $(s_1, \ldots, s_k, w) \mapsto (s_1, \ldots, 1 - s_j, \ldots, s_k, w + s_j - 1/2)$. We can also use the functional equation in more variables: for every $J \subset \{1, \ldots, k\}$, we obtain a functional equation under $\sigma_J = \prod_{j \in J} \sigma_j$:

$$A(s_1,\ldots,s_k,w) = \prod_{j\in J} X(s_j) \cdot A\left(s_1^J,\ldots,s_k^J,w + \sum_{j\in J} s_j - \frac{|J|}{2}\right)$$

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$$\frac{1}{2\pi i}\int_{(c)}A(s_1,\ldots,s_k,w)\cdot\frac{X^w}{w}dw.$$

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contributes

$$\frac{X^{1+\frac{|J|}{2}-\sum_{j\in J}s_j}}{2\zeta(2)\left(1+\frac{|J|}{2}-\sum_{j\in J}s_j\right)}\prod_{j\in J}X(s_j)\cdot\sum_{n_1\dots n_k=\square}\frac{a(n_1\dots n_k)}{n_1^{s_j}\dots n_k^{s_k^J}}.$$

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contributes

$$\frac{X^{1+\frac{|J|}{2}-\sum_{j\in J}s_j}}{2\zeta(2)\left(1+\frac{|J|}{2}-\sum_{j\in J}s_j\right)}\prod_{j\in J}X(s_j)\cdot\sum_{n_1\dots n_k=\square}\frac{a(n_1\dots n_k)}{n_1^{s_j'}\dots n_k^{s_k'}}.$$

This is $T_J(X; s_1, \ldots, s_k)!$

If $A(s_1, \ldots, s_k, w)$ has a meromorphic continuation past the point $(1/2, \ldots, 1/2, 1)$, we can shift the integral to the left, find the contribution of the pole at w = 1 and obtain an asymptotic formula with power-saving error term.

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How far can we get the meromorphic continuation?

Main result

Theorem (Č., 2024+)

Assume the Generalized Lindelöf hypothesis, or that $k \le 4$. Then $A(s_1, ..., s_k, w)$ has a meromorphic continuation to the region determined by the half-hyperspaces

$$\operatorname{Re}(w) > 1/2,$$

 $\operatorname{Re}(s_j + 2w) > 7/4,$
 $\operatorname{Re}(s_{j_1} + s_{j_2} + 2w) > 5/2,$
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and their images under the transforms σ_J , $J \subset \{1, \ldots, k\}$.

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- Also applies to long Dirichlet polynomials (recover results of Conrey and Rodgers). The last condition prevents detection of 2-swap terms.

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converges absolutely in the region

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The second expression

$$A(s_1,\ldots,s_k,w)=\sum_{n_1,\ldots,n_k}\frac{L_D\left(w,\left(\frac{\cdot}{n_1\ldots n_k}\right)\right)}{n_1^{s_1}\ldots n_k^{s_k}}$$

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There is an extra (heuristic) functional equation:

$$A(s_1,\ldots,s_k,w)=\sum_{n_1,\ldots,n_k}\frac{L_D\left(w,\left(\frac{\cdot}{n_1\ldots n_k}\right)\right)}{n_1^{s_1}\ldots n_k^{s_k}}$$

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$$\approx X(w) \sum_{n_1,\ldots,n_k} \frac{L\left(1-w,\left(\frac{\cdot}{n_1\ldots n_k}\right)\right)}{n_1^{s_1+w-1/2}\ldots n_k^{s_k+w-1/2}}$$

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Strategy of Diaconu, Goldfeld, Hoffstein and others: Construct a "perfect" MDS

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Problems when $k \ge 4$:

- The weights are not unique
- The associated group of functional equations is infinite

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where the weights $b(s_1, \ldots, s_k, d)$ are chosen such that the functional equations hold. They are very complicated and hard to find.

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Problems when $k \ge 4$:

- The weights are not unique
- The associated group of functional equations is infinite (so for instance the poles accumulate \rightarrow don't expect continuation everywhere.)

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An extensive theory of Bump, Chinta, Diaconu, Friedberg, Goldfeld, Gunnels, Hoffstein, Whitehead,...

Our strategy

We work with the unmodified MDS

$$\mathcal{A}(s_1,\ldots,s_k,w)=\sum_{d\geq 1}^*\frac{\mathcal{L}(s_1,\chi_d)\ldots\mathcal{L}(s_k,\chi_d)}{d^w},$$

and deal with the non-primitive characters by using a functional equation valid for all $L(s, \chi)$.

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A disadvantage is that we can't iterate the functional equations (related to secondary terms).

Functional equation for all characters

Proposition

Let χ be any character modulo n. Then we have

$$L(s,\chi) = \varepsilon(\chi) \left(\frac{\pi}{n}\right)^{s-1/2} \frac{\Gamma\left(\frac{1-s+a}{2}\right)}{\Gamma\left(\frac{s+a}{2}\right)} K(1-s,\chi),$$

where

$$\mathcal{K}(s,\chi) = \sum_{k=1}^{\infty} \frac{\tau(\chi,k)}{k^s}, \qquad \tau(\chi,q) = \frac{1}{\sqrt{n}} \sum_{j \pmod{n}} \chi(j) e\left(\frac{kj}{n}\right)$$

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If χ is a primitive character, then $\tau(\chi, q) = \bar{\chi}(q)\tau(\chi, 1)$, and we recover the usual functional equation.

Proof: follow any proof that uses Poisson summation.

We thus obtain

$$A(s_1,\ldots,s_k,w) \approx B(s_1+w-1/2,\ldots,s_k+w-1/2,1-w),$$

where

$$B(s_1,\ldots,s_k,w)=\sum_{n_1,\ldots,n_k}\frac{K\left(w,\left(\frac{\cdot}{n_1\ldots n_k}\right)\right)}{n_1^{s_1}\ldots n_k^{s_k}}$$
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This can be done and gives the final result.

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• Squares ~> diagonal terms

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We only used this functional equation to get the meromorphic continuation, not to compute the residues at the poles.

Thank you!