Explicit bounds for Möbius sums and $1/|\zeta(s)|$

Nicol Leong (joint with Ethan Lee)

UNSW Canberra

1/1729

Nicol Leong(joint with Ethan Lee) (UNSW CExplicit bounds for Möbius sums and $1/|\zeta(s)$

Definition

The Möbius function is defined for all positive integers n as

 $\mu(n) = \begin{cases} +1 & \text{if } n \text{ square-free and has } even \text{ number of prime factors} \\ -1 & \text{if } n \text{ square-free and has } odd \text{ number of prime factors} \\ 0 & \text{if } n \text{ contains a square.} \end{cases}$

Definition

The Möbius function is defined for all positive integers n as

 $\mu(n) = \begin{cases} +1 & \text{if } n \text{ square-free and has } even \text{ number of prime factors} \\ -1 & \text{if } n \text{ square-free and has } odd \text{ number of prime factors} \\ 0 & \text{if } n \text{ contains a square.} \end{cases}$

Definition

The Mertens function is defined for all positive integers x as

$$M(x)=\sum_{n\leq x}\mu(n),$$

where $\mu(n)$ is the Möbius function.

イロト 不良 トイヨト イヨト

• For a given x, it is natural to expect M(x) does not grow too large due to cancellation

- For a given x, it is natural to expect M(x) does not grow too large due to cancellation
- $|M(x)| \le x^{1/2}$ for all x > 1 is false (Mertens conjecture)

- For a given x, it is natural to expect M(x) does not grow too large due to cancellation
- $|M(x)| \le x^{1/2}$ for all x > 1 is false (Mertens conjecture)
- Riemann Hypothesis is equivalent to $M(x) = O\left(x^{1/2+\epsilon}
 ight)$, for any $\epsilon < 1/2$

- For a given x, it is natural to expect M(x) does not grow too large due to cancellation
- $|M(x)| \le x^{1/2}$ for all x > 1 is false (Mertens conjecture)
- Riemann Hypothesis is equivalent to $M(x) = O\left(x^{1/2+\epsilon}
 ight)$, for any $\epsilon < 1/2$
- The true rate of growth of M(x) is still not known

- For a given x, it is natural to expect M(x) does not grow too large due to cancellation
- $|M(x)| \le x^{1/2}$ for all x > 1 is false (Mertens conjecture)
- Riemann Hypothesis is equivalent to $M(x) = O\left(x^{1/2+\epsilon}
 ight)$, for any $\epsilon < 1/2$
- The true rate of growth of M(x) is still not known

To better understand M(x), we seek explicit bounds on it.

Some current results

• Helfgott and Thompson (2023) verified computationally:

$$|M(x)| < 0.571\sqrt{x}$$
 $33 \le x \le 10^{23}$

• Cohen, Dress, El Marraki (2007) proved:

$$|M(x)| < \frac{x}{4345}$$
 $x \ge 2160535.$ (1)

• Ramaré (2013) proved:

$$|M(x)| < \frac{0.013x}{\log x} - \frac{0.118x}{(\log x)^2}$$
 $x \ge 1078853.$

• El Marraki (1995) proved explicit bounds of form:

$$|M(x)| < \frac{C_0 x}{(\log x)^k},$$
 (any $k \ge 0$). (2)

• Chalker (2019) proved explicit bounds of form:

$$|M(x)| < C_1 x \log x \exp\left(-C_2 \sqrt{\log x}\right), \qquad (3)$$

$$|M(x)| < C_1 x \exp\left(-C_3 \sqrt{\log x}\right). \tag{4}$$

Nicol Leong(joint with Ethan Lee) (UNSW CExplicit bounds for Möbius sums and $1/|\zeta(s)$

4 / 1729

We prove, the first explicit version of Walfisz (1963):

$$M(x) = O\left(x \exp(-C(\log x)^{3/5} (\log \log x)^{-1/5})\right),$$

which is the strongest unconditional bound for M(x) known.

We prove, the first explicit version of Walfisz (1963):

$$M(x) = O\left(x \exp(-C(\log x)^{3/5} (\log \log x)^{-1/5})\right),$$

which is the strongest unconditional bound for M(x) known.

Our method uses a Perron formula argument and bounds for $1/\zeta(s)$:

$$M(x) = rac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} rac{x^s}{s\zeta(s)} ds$$
 $(c>1).$

Bounds for $1/\zeta(s)$

Classically, there are three types of zero-free regions. Let c_1, c_2, c_3 be constants. We have that $\zeta(\sigma + it) \neq 0$ in the region

$$\begin{split} \sigma \geq 1 - \frac{1}{c_1 \log t} \quad & (\text{de la Vallée Poussin}), \\ \sigma \geq 1 - \frac{\log \log t}{c_2 \log t} \quad & (\text{Littlewood}), \\ \sigma \geq 1 - \frac{1}{c_3 (\log t)^{2/3} (\log \log t)^{1/3}} \quad & (\text{Vinogradov and Korobov}). \end{split}$$

э

Bounds for $1/\zeta(s)$

Classically, there are three types of zero-free regions. Let c_1, c_2, c_3 be constants. We have that $\zeta(\sigma + it) \neq 0$ in the region

$$\begin{split} \sigma \geq 1 - \frac{1}{c_1 \log t} \quad & (\text{de la Vallée Poussin}), \\ \sigma \geq 1 - \frac{\log \log t}{c_2 \log t} \quad & (\text{Littlewood}), \\ \sigma \geq 1 - \frac{1}{c_3 (\log t)^{2/3} (\log \log t)^{1/3}} \quad & (\text{Vinogradov and Korobov}). \end{split}$$

In these we regions we can obtain respectively:

$$\frac{1}{\zeta(s)} \ll \log t, \qquad \frac{1}{\zeta(s)} \ll \frac{\log t}{\log \log t}, \qquad \frac{1}{\zeta(s)} \ll (\log t)^{2/3} (\log \log t)^{1/3}.$$

Bounds for $1/\zeta(s)$

Classically, there are three types of zero-free regions. Let c_1, c_2, c_3 be constants. We have that $\zeta(\sigma + it) \neq 0$ in the region

$$\begin{split} \sigma \geq 1 - \frac{1}{c_1 \log t} \quad & (\text{de la Vallée Poussin}), \\ \sigma \geq 1 - \frac{\log \log t}{c_2 \log t} \quad & (\text{Littlewood}), \\ \sigma \geq 1 - \frac{1}{c_3 (\log t)^{2/3} (\log \log t)^{1/3}} \quad & (\text{Vinogradov and Korobov}). \end{split}$$

In these we regions we can obtain respectively:

$$\frac{1}{\zeta(s)} \ll \log t, \qquad \frac{1}{\zeta(s)} \ll \frac{\log t}{\log \log t}, \qquad \frac{1}{\zeta(s)} \ll (\log t)^{2/3} (\log \log t)^{1/3}.$$

We make the improvements:

$$rac{1}{\zeta(s)} \ll (\log t)^{11/12}$$
 and $rac{1}{\zeta(s)} \ll (\log t)^{2/3} (\log \log t)^{1/4}.$

First obtain a uniform bound when $1 - \delta \leq \sigma \leq 1 + \delta_1$:

$$\left|\Re\frac{\zeta'}{\zeta}(s)\right|\leq C\log t.$$

(日) (四) (日) (日) (日)

First obtain a uniform bound when $1 - \delta \leq \sigma \leq 1 + \delta_1$:

$$\left|\Re\frac{\zeta'}{\zeta}(s)\right|\leq C\log t.$$

This can be easily done using function theoretic lemmas or an explicit formula relating to a sum over zeros.

First obtain a uniform bound when $1 - \delta \leq \sigma \leq 1 + \delta_1$:

$$\left|\Re\frac{\zeta'}{\zeta}(s)\right|\leq C\log t.$$

This can be easily done using function theoretic lemmas or an explicit formula relating to a sum over zeros.

Apply to the identity

$$\log \left|\frac{1}{\zeta(\sigma+it)}\right| = -\Re \log \zeta(1+\delta_1+it) + \int_{\sigma}^{1+\delta_1} \Re \frac{\zeta'}{\zeta}(x+it) dx.$$

For $1-\delta \leq \sigma \leq 1+\delta_1$, we arrive at

$$\left|\frac{1}{\zeta(\sigma+it)}\right| \leq \left|\frac{1}{\zeta(1+\delta_1+it)}\right| \exp\left((\delta_1+\delta)C\log t\right)$$
$$= \left|\frac{1}{\zeta(1+\delta_1+it)}\right| \exp(C_1),$$

by choosing $\delta, \delta_1 = O(1/\log t)$.

The order of the left-hand side of (5) is equivalent to the order of

$$\left|\frac{1}{\zeta(1+\delta_1+it)}\right|.$$

(ロ) (国) (E) (E) (E) (O)

(5)

For $1-\delta \leq \sigma \leq 1+\delta_1$, we arrive at

$$\begin{aligned} \left|\frac{1}{\zeta(\sigma+it)}\right| &\leq \left|\frac{1}{\zeta(1+\delta_1+it)}\right| \exp\left((\delta_1+\delta)C\log t\right) \\ &= \left|\frac{1}{\zeta(1+\delta_1+it)}\right| \exp(C_1), \end{aligned}$$

by choosing $\delta, \delta_1 = O(1/\log t)$.

< ロト < 同ト < ヨト < ヨト

(5)

For $1-\delta \leq \sigma \leq 1+\delta_1$, we arrive at

$$igg|rac{1}{\zeta(\sigma+it)}igg| \leq igg|rac{1}{\zeta(1+\delta_1+it)}igg| \exp\left((\delta_1+\delta)C\log t
ight) \ = igg|rac{1}{\zeta(1+\delta_1+it)}igg| \exp(C_1),$$

by choosing $\delta, \delta_1 = O(1/\log t)$.

The order of the left-hand side of (5) is equivalent to the order of

$$\left|\frac{1}{\zeta(1+\delta_1+it)}\right|.$$

(5)

Typically, a trivial bound for $\sigma>1$ would give

$$\left|\frac{1}{\zeta(1+\delta_1+it)}\right| \leq \zeta(1+\delta_1) = O\left(\frac{1}{\delta_1}\right) = O(\log t)$$
(6)

(recall we chose $\delta_1 = O(1/\log t))$.

Typically, a trivial bound for $\sigma>1$ would give

$$\left|\frac{1}{\zeta(1+\delta_1+it)}\right| \leq \zeta(1+\delta_1) = O\left(\frac{1}{\delta_1}\right) = O(\log t)$$
(6)

(recall we chose $\delta_1 = O(1/\log t))$.

On the other hand, the classical non-negative trigonometric polynomial

$$3 + 4\cos heta + \cos 2 heta \geq 0 \implies \zeta^3(\sigma)|\zeta^4(\sigma + it)\zeta(\sigma + 2it)| \geq 1.$$

Typically, a trivial bound for $\sigma>1$ would give

$$\left|\frac{1}{\zeta(1+\delta_1+it)}\right| \le \zeta(1+\delta_1) = O\left(\frac{1}{\delta_1}\right) = O(\log t) \tag{6}$$

(recall we chose $\delta_1 = O(1/\log t)).$

On the other hand, the classical non-negative trigonometric polynomial

$$3 + 4\cos\theta + \cos 2\theta \ge 0 \implies \zeta^3(\sigma)|\zeta^4(\sigma + it)\zeta(\sigma + 2it)| \ge 1.$$

i.e.,
$$\left| \frac{1}{\zeta(1+\delta_1+it)} \right| \le |\zeta(1+\delta_1)|^{3/4} |\zeta(1+\delta_1+2it)|^{1/4}$$

 $\le C_2(\log t)^{3/4} |\zeta(1+\delta_1+2it)|^{1/4}$

by (6).

(本間) (本語) (本語) (二)

Typically, a trivial bound for $\sigma>1$ would give

$$\left|\frac{1}{\zeta(1+\delta_1+it)}\right| \leq \zeta(1+\delta_1) = O\left(\frac{1}{\delta_1}\right) = O(\log t)$$
(6)

(recall we chose $\delta_1 = O(1/\log t)).$

On the other hand, the classical non-negative trigonometric polynomial

$$3+4\cos heta+\cos2 heta\geq 0 \implies \zeta^3(\sigma)|\zeta^4(\sigma+it)\zeta(\sigma+2it)|\geq 1.$$

i.e.,
$$\left| \frac{1}{\zeta(1+\delta_1+it)} \right| \le |\zeta(1+\delta_1)|^{3/4} |\zeta(1+\delta_1+2it)|^{1/4}$$

 $\le C_2(\log t)^{3/4} |\zeta(1+\delta_1+2it)|^{1/4}$

by (6). Note that applying (6) again would give an overall $O(\log t)$ bound. Our goal is to improve this.

So far, we have for $1 - \delta \leq \sigma \leq 1 + \delta_1 \leq 2$,

$$\left|\frac{1}{\zeta(\sigma+it)}\right| \le C_3 \left|\frac{1}{\zeta(1+\delta_1+it)}\right| \ll (\log t)^{3/4} |\zeta(1+\delta_1+2it)|^{1/4}.$$
 (7)

э

イロト イヨト イヨト

So far, we have for $1 - \delta \leq \sigma \leq 1 + \delta_1 \leq 2$,

$$\frac{1}{\zeta(\sigma+it)}\bigg| \le C_3 \left|\frac{1}{\zeta(1+\delta_1+it)}\right| \ll (\log t)^{3/4} |\zeta(1+\delta_1+2it)|^{1/4}.$$
 (7)

Instead of using trivial bounds, we use the Phragmén–Lindelöf principle to combine the bounds

$$\zeta(1+it) \ll (\log t)^{2/3}$$
 and $|\zeta(2+it)| \leq \zeta(2) \ll 1$

So far, we have for $1 - \delta \leq \sigma \leq 1 + \delta_1 \leq 2$,

$$\left|\frac{1}{\zeta(\sigma+it)}\right| \le C_3 \left|\frac{1}{\zeta(1+\delta_1+it)}\right| \ll (\log t)^{3/4} |\zeta(1+\delta_1+2it)|^{1/4}.$$
 (7)

Instead of using trivial bounds, we use the Phragmén–Lindelöf principle to combine the bounds

$$\begin{split} \zeta(1+it) \ll (\log t)^{2/3} & \text{and} \quad |\zeta(2+it)| \leq \zeta(2) \ll 1\\ \text{so that } \zeta(s) \ll (\log t)^{2/3} \text{ for } 1 \leq \sigma \leq 2.\\ \text{Finally, apply to (7):} \\ \left| \frac{1}{\zeta(s)} \right| \ll (\log t)^{3/4} ((\log t)^{2/3})^{1/4} = (\log t)^{11/12}. \end{split}$$