# Hybrid Statistics of a Random Model of Zeta over Intervals of Varying Length

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## What is the Riemann zeta function?

The Riemann zeta function is given by

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s} = \prod_p (1 - p^{-s})^{-1}$$

where  $s = \sigma + it \in \mathbb{C}$ , p is prime and  $\Re(s) > 1$ .

The Riemann Zeta Function can be analytically extended to  $\mathbb{C} \setminus \{1\}$  by the functional equation

$$\zeta(s) = 2^s \pi^{s-1} \sin\left(\frac{\pi s}{2}\right) \Gamma(1-s)\zeta(1-s).$$

trivial zeroes are at s = -2n where n = 1, 2, 3...Riemann's Hypothesis: all non-trivial zeroes lie on the 1/2 line.

## Main interest: extreme values of zeta

We are interested in the extreme values of the Riemann Zeta Function.



Lindelöf's Hypothesis:  $\zeta(1/2 + it) = O(t^{\epsilon})$  for every positive  $\epsilon$ . We do not know the correct order of magnitude of the global maximum – this is a hard problem!

Simpler question: study the local maximum over a short interval.

Choose  $\tau \sim U[0, T]$ , where T is a large value on the 1/2 line. Consider a neighborhood  $\mathcal{I}$  of varying length around  $\tau$ . Let  $h \in \mathcal{I}$ .

We want to study  $\log |\zeta(1/2 + i(\tau + h))|$  over short intervals.



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We can study the local maximum over different interval sizes...

$$\max_{\substack{|h| \le \frac{1}{\log T}}} |\zeta(1/2 + i(\tau + h))| = ??$$
$$\max_{\substack{|h| \le 1}} |\zeta(1/2 + i(\tau + h))| = ??$$
$$\max_{\substack{|h| \le (\log T)^{\theta}}} |\zeta(1/2 + i(\tau + h))| = ??$$

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# The Fyodorov-Hiary-Keating Conjecture

Fyodorov-Hiary-Keating (2012) Conjecture:

$$\max_{|h| \le 1} |\zeta(1/2 + i(\tau + h))| = \frac{\log T}{(\log \log T)^{3/4}} e^{\mathcal{M}_T(\tau)}$$

where  $\mathcal{M}_T(\tau) \to \mathcal{M}$  as  $T \to \infty$  and

$$\mathbb{P}(\mathcal{M} > y) \ll ye^{-2y}$$

as  $y \to \infty$ .

### **Connection to probability:**

maximum of branching random walks

(collection of log-correlated random variables): same leading and subleading order!

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### **Connection to probability:**

### maximum of branching random walks

(collection of log-correlated random variables): same leading and subleading order!

### maximum of IID random variables

(a collection of independent and identically distributed random variables (IID)): same leading order, but different subleading order exponent of 1/4.

## Proving the Fyodorov-Hiary-Keating Conjecture

Arguin-Bourgade-Radziwill (2020, 2023):

$$\mathbb{P}\left(\max_{|h|\leq 1} |\zeta(1/2+i(\tau+h)| > \frac{\log T}{(\log\log T)^{3/4}}e^{y}\right) \asymp y e^{-2y} e^{-y^2/\log\log T}$$

**Arguin-Bailey (2022)**: Let  $\theta > 0$ . Then

$$\mathbb{P}\left(\max_{\substack{|h| \leq (\log T)^{\theta}}} |\zeta(1/2 + i(\tau + h))| > \frac{(\log T)^{\sqrt{1+\theta}}}{(\log \log T)^{\frac{1}{4\sqrt{1+\theta}}}} e^{y}\right) \ll 1e^{-2\sqrt{1+\theta}y} e^{-y^2/\log \log T}$$

When  $\theta \rightarrow 0$ , the right tail distribution and subleading orders are different! Why? Recently, Arguin-Dubach-Hartung studied a random model of zeta and addressed the subleading order exponent discrepancy.

## What is the random model of zeta?

Let  $\tau \sim U[0, T]$  and  $h \in \mathcal{I}$ . Then

$$\log \zeta(1/2 + i(\tau + h)) \approx \log \prod_{p} \left( 1 - \frac{1}{p^{1/2 + i(\tau + h)}} \right)^{-1} \approx \sum_{p \leq T} \frac{p^{-i\tau} p^{-ih}}{p^{1/2}}$$
  

$$\bigvee \nabla$$
Identify  $p^{-i\tau}$  with Gaussian processes  $G_p \sim \mathcal{N}_{\mathbb{C}}(0, 1)$ .  
Since  $\log |\zeta| = \Re \log \zeta$ , we have  $X_T(h) = \sum_{p \leq T} \frac{\Re(G_p p^{-ih})}{\sqrt{p}}$ .

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ight)^{-1} pprox \sum_{p \leq T} rac{p^{-i au} p^{-ih}}{p^{1/2}}.$$

Identify  $p^{-i\tau}$  with Gaussian processes  $G_p \sim \mathcal{N}_{\mathbb{C}}(0,1)$ .

Since  $\log |\zeta| = \Re \log \zeta$ , we have  $X_T(h) = \sum_{p \le T} \frac{\Re(G_p p^{-ih})}{\sqrt{p}}$ . The random variable  $X_T(h) \sim \mathcal{N}(0, \frac{\log \log T}{2} + O(1))$ .  $\mathbb{E}[(\chi_T(h))^*] = \sum_{p \le T} \frac{\mathbb{E}[(\Re(G_p))^2]}{p} = \frac{1}{2} \sum_{p \le T} \frac{1}{p} = \frac{\log \log T}{2} + O(1)$ 

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## Intervals of Varying Length

Define  $\theta = (\log \log T)^{-\alpha}$  where  $\alpha \in (0, 1)$ . We study the process  $(X_T(h), h \in \mathcal{I})$ over intervals  $\mathcal{I} = [-(\log T)^{\theta}, (\log T)^{\theta}]$ . This implies

$$|\mathcal{I}| = 2(\log T)^{\theta} = 2\exp((\log \log T)^{1-\alpha}).$$

As  $\alpha$  ranges between 0 and 1, the size of the window ranges between 1 and log T.



## Covariance structure of the random model

For all  $h, h' \in \mathcal{I}$ , we have the following covariance structure of the process:  $\mathbb{E}[X_{\mathcal{T}}(h), X_{\mathcal{T}}(h')]$   $= \frac{1}{2} \sum_{p \leq \mathcal{T}} \frac{\cos(|h - h'| \log p)}{p}$   $= \frac{1}{2} \sum_{p \leq \exp(|h - h'|^{-1})} \frac{\cos(|h - h'| \log p)}{p} + \sum_{\exp(|h - h'|^{-1})$ 

that depends on the distance between the two points:

$$\mathbb{E}[X_{\mathcal{T}}(h)X_{\mathcal{T}}(h')] = egin{cases} rac{1}{2}\log|h-h'|^{-1}+O(1) & ext{if} \ |h-h'| \leq 1 \ O(|h-h'|^{-1}) & ext{if} \ |h-h'| > 1 \end{cases}$$

Intervals of size one:  $X_T(h), X_T(h')$  are log correlated!

Larger intervals:  $X_T(h), X_T(h')$  are weakly correlated!

## Random Model results

 $X_T(h)$  denotes the random model of  $\log |\zeta(1/2 + i(\tau + h))|$ .

This implies  $e^{\chi_{\tau}(h)} \approx |\zeta(1/2 + i(\tau + h))|$ .

## Arguin-Dubach-Hartung (2024):



# The main result

## Theorem (C. 2024)

Let 
$$y \in \mathbb{R}_+$$
 and  $y = O(\frac{\log \log T}{\log \log \log T})$ . Let  $\theta = (\log \log T)^{-\alpha}$  with  $\alpha \in (0, 1)$ . Then we have  

$$\mathbb{P}\left(\max_{\substack{|h| \le (\log T)^{\theta}}} e^{X_T(h)} > \frac{(\log T)^{\sqrt{1+\theta}}}{(\log \log T)^{\frac{1+2\alpha}{4\sqrt{1+\theta}}}} e^{y}\right) \asymp \left(1 + \frac{y}{(\log \log T)^{1-\alpha}}\right) e^{-2\sqrt{1+\theta}y} e^{-\frac{y^2}{\log \log T}}$$

$\alpha = 0$	$lpha\in(0,1)$	$\alpha = 1$
IID	hybrid regime	log-correlated
subleading order: $\frac{1}{4}$	subleading order: $\frac{1+2\alpha}{4}$	subleading order: $\frac{3}{4}$
$\ll 1e^{-2y}e^{-y^2/\log\log T}$	$\ll (1 + \frac{y}{(\log \log T)^{1-\alpha}})e^{-2\sqrt{1+\theta}y}e^{-y^2/\log \log T}$	$\ll y e^{-2y} e^{-y^2/\log\log T}$

## Moment over intervals of varying length

By the main result, we prove the following corollary for the  $\beta_c = 2\sqrt{1+\theta}$  moment of a random model of  $|\zeta(\frac{1}{2} + i(\tau + h))|$ .

#### Corollary

For 
$$\beta_c = 2\sqrt{1+ heta}$$
 and  $\alpha \in (0,1)$ , we have for  $A > 0$ ,

$$\int_{|h| \leq (\log T)^{\theta}} (\exp \beta_c X_T(h)) \ dh \ll_A \frac{(\log T)^{\frac{\beta_c^2}{4} + \theta}}{(\log \log T)^{\alpha - 1/2}},$$

(1)

for all  $t \in [T, 2T]$  except possibly on a subset of Lebesgue measure  $\ll 1/A$ .

# Moment over intervals of varying length

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#### Theorem (Harper 2019)

Uniformly for all large T, we have

$$\int_{|h| \le 1} |\zeta(1/2 + it + ih)|^2 dh \le A \frac{\log T}{\sqrt{\log \log T}}$$

for all  $t \in [T, 2T]$  except possibly on a subset of Lebesgue measure  $\ll \frac{(\log A) \wedge \sqrt{\log \log T}}{A}$ 

## Moment over intervals of varying length

Following the techniques of Arguin-Bailey, a sharper bound for  $\alpha \in (0, 1/2]$  holds:

$$\int_{|h| \le (\log T)^{\theta}} |\zeta(1/2 + i(\tau + h))|^{\beta_c} dh \ll A(\log T)^{\frac{\beta_c^2}{4} + \theta}.$$
 (2)

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This holds for all  $t \in [T, 2T]$  except possibly on a subset of Lebesgue measure  $\ll 1/A$ .

# What is special about the correction?

Let's compare:

$lpha\in (0,1/2)$	$lpha\in (1/2,1)$	$\alpha = 1$
regime: IID	regime: hybrid	regime: log-correlated
correction: 1	correction: $\frac{1}{(\log \log T)^{\alpha-1/2}}$	correction: $\frac{1}{\sqrt{\log \log T}}$

There is a distinctive transition at  $\alpha = 1/2$  to the IID regime, i.e. for intervals that have length greater than  $(\exp(\sqrt{\log \log T}))$ .

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## Proving the main result: first identify a BRW in the model

Recall that

$$\mathbb{E}[X_T(h)X_T(h')] = egin{cases} rac{1}{2}\log|h-h'|^{-1}+O(1) & \textit{if} \ |h-h'| \leq 1 \ O(|h-h'|^{-1}) & \textit{if} \ |h-h'| > 1 \end{cases}$$

Interval of order one: the random variables are log-correlated! A branching random walk is a collection of log-correlated random variables!



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## Approximate BRW in the random model of zeta

Recall that the random model of  $\log |\zeta|$  is

 $X_{T}(h) = \sum_{p \leq T} \frac{\text{ReCGp } p^{-ih}}{\sqrt{p}}$ 

Not a sum of 11D increments! Variance is different for each term

## Approximate BRW in the random model of zeta

Recall that the random model of log  $|\zeta|$  is  $X_{T}(h) = \sum_{p \leq T} \frac{\text{Re}(G_{p} p^{-1}h)}{\sqrt{p}}$ NOT a sum of 11D correct increment increments! Variance is different for each term.  $Y_{K}(h) = \sum_{e^{k+1} \leq \log p \leq e^{k}} \frac{Re(G_{p} p^{-1}m)}{Vp}$  $\frac{\operatorname{Var}(Y_{\mathsf{K}}(\mathsf{h}))}{\operatorname{E}[Y_{\mathsf{K}}^{2}(\mathsf{h})]} = \frac{1}{2} \sum_{e^{\mathsf{K}^{\mathsf{H}} \times \log p < e^{\mathsf{K}}}} \frac{\mathsf{P}}{\mathsf{P}} = \frac{1}{2} \int_{e^{\mathsf{K}^{\mathsf{H}}} u \log u}^{e^{\mathsf{K}}} du = \frac{1}{2} + o(1)$  $Y_{k} \sim IID \mathcal{N}(0, \pm + \circ(1))$ 

we can express the random model of zeta as a sum of 11D increments (as a BRW).

$$\frac{\text{opproximate}}{X_{T}(h) \text{ as an}_{BRW}} : S_{j}(h) = \sum_{k=0}^{j} Y_{p}(h) \qquad \text{sum of IID} \\ \text{inchements} \\ \frac{\text{tet } t = \log\log T}{\text{tet } t = \log\log T} \\ \frac{\text{tet } t = \log\log T}{\frac{1}{2}} \\ \frac{\text{tet } t = \log\log T}{\frac{1}{2}} \\ \frac{\text{subergs } CUT}{1} : \log|S(t_{a}+it)| \sim \mathcal{N}(0, \frac{\log\log T}{2}) \\ \frac{\text{subergs } CUT}{1} : \log|S(t_{a}+it)| \sim \mathcal{N}(0, \frac{\log\log T}{2}) \\ \frac{1}{2} \end{bmatrix}$$

time 
$$z = \frac{1}{Y_3(h)} + \frac{1}{Y_2(h)} + \frac{1}{Y_3(h)} + \frac{1}{Y_3(h$$

$$\frac{\ln \operatorname{crements}}{2} \quad Y_{\varrho} \sim \operatorname{IID} \mathcal{N}(o, \frac{1}{2} + o(1))$$

$$\frac{\operatorname{Gaussian} \operatorname{Process}}{\operatorname{Gaussian} \operatorname{Process}} \quad (X_{t}(h), h \in \mathcal{H}_{t})$$

$$\frac{\operatorname{Variance}}{2} \quad \mathbb{E}[X_{t}^{2}(h)] = \int_{\varrho=0}^{t} \mathbb{E}[Y_{\varrho}^{2}(h)] = \frac{\log \log T}{2} + o(1)$$

$$\frac{\operatorname{Covariance}}{4} \quad \mathbb{E}[X_{t}(h) X_{t}(h')] = \mathcal{T}(h \wedge h')$$

$$h \wedge h' = \operatorname{branching} \operatorname{time} \operatorname{of} X_{\varrho}(h) \operatorname{and} X_{\varrho}(h')$$

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## Random model of zeta over an interval of order one

$$h \wedge h' = branching time of X_{e}(h)$$
 and  $X_{e}(h')$   
 $h \wedge h' = \log |h - h'|^{-1}$ .



In short,

$$S_{j}(h) \approx S_{l}(h')$$
 whenever  $|h - h'| < e^{-l}$ .

NT analogy: I valued roughly change every  $\frac{1}{\log T} = e^{-t}$ 

## Big picture: analyze many independent BRW's

Consider once again the covariance:

$$\mathbb{E}[S_t(h)S_t(h')] = egin{cases} rac{1}{2}\log|h-h'|^{-1}+O(1) & ext{if} \ |h-h'| \leq 1 \ O(|h-h'|^{-1}) & ext{if} \ |h-h| > 1 \end{cases}$$

For |h - h'| > 1, there is a strong decoupling. We can think of the process  $(S_t(h), |h| \le (\log T)^{\theta})$  as behaving like  $(\log T)^{\theta}$  independent copies of  $(S_t(h), |h| \le 1)$ .



We are essentially studying the extreme values of  $(\log T)^{\theta}$  independent branching random walks!

## Proof ideas

We want an upper bound for

$$\mathbb{P}\left(\max_{|h| \leq (\log T)^{\theta}} X_{T}(h) > \sqrt{1+\theta} \log \log T - \frac{1+2\alpha}{4\sqrt{1+\theta}} \log \log \log T + y\right).$$

Recall that  $t = \log \log T$ . Use the branching random walk model and consider

 $\mathbb{P}\left(\max_{|h| \leq (\log T)^{\theta}} S_{t}(h) > \mu t + y\right), \text{ where } \mu = \sqrt{1+\theta} - \frac{1+2\alpha}{4\sqrt{1+\theta}} \frac{\log t}{t}.$ 

By self-similarity of BRW's, max SKCh)≈ MK+y. we can assume walks are below a "barrier".



The barrier also helps to remove vare events.

Construct a good event based on the "hitting time".



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## Apply the hitting time method

Decompose the event over when the maximum of the process crosses the barrier, say at k + 1:

$$egin{aligned} &\mathbb{P}\left(\max_{|h|\leq (\log T)^{ heta}}S_t(h)>M(t)
ight)\ &=\sum_{k=0}^{t-1}\mathbb{P}\left(\max_{|h|\leq (\log T)^{ heta}}S_t(h)>M(t),\ \max_{|h|\leq (\log T)^{ heta}}S_j(h)< M(j), orall j\leq k,\ &\lim_{|h|\leq (\log T)^{ heta}}S_{k+1}(h)>M(k+1). \end{aligned}$$

We drop the first event and narrow our focus to the following sum:

$$\leq \sum_{k=0}^{t-1} \mathbb{P}\left(\max_{|h| \leq (\log \mathcal{T})^{\theta}} S_j(h) < M(j); \forall j \leq k, \ \max_{|h| \leq (\log \mathcal{T})^{\theta}} S_{k+1}(h) > M(k+1)\right)$$

To obtain good estimates, we divide the range of k into two. Why?

## Remove the barrier for a small range of k

### **First range of** k (drop the barrier):

Discretize the interval and perform a union bound on  $e^{k+t\theta}$  points.

By a Gaussian estimate, we have

$$\sum_{k=0}^{t-t^lpha} \mathbb{P}\left(\max_{|h|\leq (\log T)^ heta} S_{k+1}(h) > M(k+1)
ight) \leq \sum_{k=0}^{t-t^lpha} e^{k+t heta} \mathbb{P}\left(\max_{|h|\leq e^{-k}} S_{k+1}(h) > M(k+1)
ight) \ \ll e^{-2\sqrt{1+ heta}y} e^{-y^2/t}$$

We discretize the interval of size  $2(\log T)^{0} = 2e^{t0}$ . Divide by  $e^{-K}$  since  $S_{K}(h) \approx S_{K}(h')$  whenever  $|h - h'| < e^{-K}$ .

# Proof of main result: Estimate the second range of k

**Second range (keep the barrier:)** Discretize the interval as before and perform a union bound.

$$\sum_{k=t-t^{\alpha}}^{t} \mathbb{P}\left(\max_{|h| \leq (\log T)^{\theta}} S_{j}(h) < M(j); \forall j < k, \max_{|h| \leq (\log T)^{\theta}} S_{k+1}(h) > M(k+1)\right)$$
$$\leq \sum_{k=t-t^{\alpha}}^{t} e^{k+1+t\theta} \mathbb{P}\left(S_{j}(0) < M(j); \forall j < k, S_{k+1}(0) > M(k+1)\right)$$

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## Proof of main result: Estimate the second range of k



# Proof of main result: Estimate the second range of k

$$P(S_j(o) < M(j); \forall j \le K, S_{K+1}(o) > M(K+1)) \le ($$



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Next Step: Decompose the event over all possible values of  $S_{K}(o)$ . Let's say  $S_{K}(o) \in [U, U+1]$ .

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Decompose the event over all possible values u of the process at time k. The probability becomes

$$\begin{split} \mathbb{P}\left(S_{j}(0) < M(j); \forall j < k, \ S_{k+1}(0) > M(k+1)\right) \\ &= \sum_{u \leq M(k)} \mathbb{P}(S_{j}(0) < M(j); \forall j < k, S_{k}(0) \in [u, u+1]) \\ &\cdot \mathbb{P}(S_{k+1}(0) - S_{k}(0) > M(k+1) - u - 1) \end{split}$$

by independence of Gaussian increments.

By a Gaussian estimate, we have

$$\mathbb{P}(S_{k+1}(0) - S_k(0) > M(k+1) - u - 1) \ll e^{-(M(k+1) - u - 1)^2}$$

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By the Ballot Theorem, we have



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Putting everything together and skipping some details, we have an expression

$$\sum_{k=k^{*}}^{t} \mathbb{P}\left(\max_{|h| \leq (\log T)^{\theta}} S_{j}(h) < M(j); \forall j < k, \max_{|h| \leq (\log T)^{\theta}} S_{k+1}(h) > M(k+1)\right)$$
(3)  

$$\leq \sum_{k=k^{*}}^{t} e^{k+1+t\theta} \mathbb{P}\left(S_{j}(0) < M(j); \forall j < k, S_{k+1}(0) > M(k+1)\right)$$
(4)  

$$\ll \sum_{k=k^{*}}^{t} e^{k+1+t\theta} \sum_{u \leq M(k)} e^{-(M(k+1)-u-1)^{2}} \frac{e^{-\frac{u^{2}}{k}}}{k^{3/2}} (t^{1-\alpha} + y)(M(k) - u - 1)$$
(5)  

$$\vdots$$
(6)  

$$\ll (t^{1-\alpha} + y) \exp(-2\mu y) \exp(-\frac{y^{2}}{t}) \sum_{k=t-t^{\alpha}}^{t} e^{-(t-k)\theta + \frac{1+2\alpha}{2}\log k - 2\beta\log(t-k)} k^{-3/2}$$
(7)  

$$\ll t^{1-\alpha} (1 + y/t\theta) \exp(-2\mu y) \exp(-y^{2}/t) \sum_{k=t-t^{\alpha}}^{t} k^{\alpha-1} e^{-(t-k)\theta - 2\beta\log(t-k)} k^{-3/2}$$
(8)  

$$\vdots$$
(9)  

$$\ll \left(1 + \frac{y}{t^{1-\alpha}}\right) \exp(-2\sqrt{1+\theta}y) \exp\left(-\frac{y^{2}}{t}\right) .$$
(10)

## What does this mean for the Riemann zeta function??

#### Conjecture for the Riemann zeta function

Let  $0 < \alpha < 1$  and  $\theta = (\log \log T)^{-\alpha}$ . Then

$$\max_{|h| \leq (\log T)^{\theta}} |\zeta(1/2 + i(\tau + h))| = \frac{(\log T)^{\sqrt{1+\theta}}}{(\log \log T)^{\frac{1+2\alpha}{4\sqrt{1+\theta}}}} e^{\mathcal{M}_{T}},$$

where  $\tau$  is uniformly distributed on [T, 2T], and  $(\mathcal{M}_T, T > 1)$  is a tight sequence of random variables converging as  $T \to \infty$  to a random variable  $\mathcal{M}$  with right tail

$$(\mathcal{M} > y) \sim \left(1 + \frac{y}{(\log \log T)^{1-\alpha}}\right) e^{-2\sqrt{1+\theta}y} e^{-\frac{y^2}{\log \log T}}.$$

#### Conjecture for moments of zeta over short varying intervals

Let  $\beta_c = 2\sqrt{1+\theta}$  and  $\alpha \in (1/2, 1)$ . Then we have for A > 0,

$$\left(\frac{1}{(\log T)^{\theta}}\int_{|h|\leq (\log T)^{\theta}}|\zeta(1/2+i(t+h))|^{\beta_c}dh>A\frac{(\log T)^{\frac{\beta_c^2}{4}}}{(\log\log T)^{\alpha-1/2}}\right)\ll\frac{1}{A}$$

# The End

Thank you for listening!



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