# The Hardy–Littlewood prime tuple conjecture and Ramanujan sums

#### Shivani Goel

(Joint work with Sneha Chaubey and M. Ram Murty)

IIIT Delhi

Comparative Prime Number Theory Symposium

## Twin prime conjecture

• A twin prime is a prime number that is either two less or two more than another prime number.

## Twin prime conjecture

- A twin prime is a prime number that is either two less or two more than another prime number.
- For example, either member of the twin prime pairs (3,5), (11,13), and (41,43).

# Twin prime conjecture

- A twin prime is a prime number that is either two less or two more than another prime number.
- For example, either member of the twin prime pairs (3,5), (11,13), and (41,43).

#### Conjecture 0.1

There are infinitely many twin primes or pairs of primes that differ by 2.

## Hardy-Littlewood *k*-tuple conjecture

• Let  $a_1, \dots, a_k$  be distinct integers, and b(p) be the number of distinct residue classes (mod p) represented by  $a_i$ ,  $1 \le i \le k$ .

## Hardy-Littlewood *k*-tuple conjecture

- Let  $a_1, \dots, a_k$  be distinct integers, and b(p) be the number of distinct residue classes (mod p) represented by  $a_i$ ,  $1 \le i \le k$ .
- If b(p) < p for every prime p, then

$$\#\{n \leq x : n + a_i \text{ are primes } \forall 1 \leq i \leq k\} \sim \mathfrak{S}(a_1, ..., a_k) \frac{x}{(\log x)^k},$$

where

$$\mathfrak{S}(a_1,...,a_k) = \prod_{p} \left(1 - \frac{b(p)}{p}\right) \left(1 - \frac{1}{p}\right)^{-k},$$

and the product is over all primes p.

## Equivalent form of k-tuple conjecture

• The prime *k*-tuple conjecture is equivalent to show

$$\sum_{n\leq x}\Lambda(n+a_1)\cdots\Lambda(n+a_k)\sim\mathfrak{S}(a_1,...,a_k)x,$$

where " $\Lambda$ " is the von Mangoldt function.

$$\Lambda(n) = \begin{cases} \log p & \text{if } n = p^k, \\ 0 & \text{otherwise.} \end{cases}$$

## Equivalent form of k-tuple conjecture

• The prime *k*-tuple conjecture is equivalent to show

$$\sum_{n\leq x}\Lambda(n+a_1)\cdots\Lambda(n+a_k)\sim\mathfrak{S}(a_1,...,a_k)x,$$

where " $\Lambda$ " is the von Mangoldt function.

$$\Lambda(n) = \begin{cases} \log p & \text{if } n = p^k, \\ 0 & \text{otherwise.} \end{cases}$$

One can show

$$\sum_{n\leq x} \Lambda(n+a_1)\cdots \Lambda(n+a_k) \sim \sum_{n\leq x} \frac{\phi(n+a_1)}{n+a_1} \Lambda(n+a_1)\cdots \frac{\phi(n+a_k)}{n+a_k} \Lambda(n+a_k).$$

• Hardy's formula

$$\frac{\phi(n)\Lambda(n)}{n} = \sum_{q=1}^{\infty} \frac{\mu(q)}{\phi(q)} c_q(n).$$

Hardy's formula

$$\frac{\phi(n)\Lambda(n)}{n} = \sum_{q=1}^{\infty} \frac{\mu(q)}{\phi(q)} c_q(n).$$

•  $c_q(n)$  is called the Ramanujan sums and it is defined as:

$$c_q(n) := \sum_{\substack{j=0\\(j,q)=1}}^{q-1} e\left(\frac{jn}{q}\right) = \sum_{\substack{d|n\\d|q}} d\mu\left(\frac{q}{d}\right),$$

where  $e(x) = e^{2\pi i x}$ .

Hardy's formula

$$\frac{\phi(n)\Lambda(n)}{n} = \sum_{q=1}^{\infty} \frac{\mu(q)}{\phi(q)} c_q(n).$$

•  $c_q(n)$  is called the Ramanujan sums and it is defined as:

$$c_q(n) := \sum_{\substack{j=0\\(j,q)=1}}^{q-1} e\left(\frac{jn}{q}\right) = \sum_{\substack{d|n\\d|q}} d\mu\left(\frac{q}{d}\right),$$

where  $e(x) = e^{2\pi i x}$ .

• Observe that  $c_q(0) = \phi(q)$  and  $c_q(1) = \mu(q)$ .

Hardy's formula

$$\frac{\phi(n)\Lambda(n)}{n} = \sum_{q=1}^{\infty} \frac{\mu(q)}{\phi(q)} c_q(n).$$

•  $c_q(n)$  is called the Ramanujan sums and it is defined as:

$$c_q(n) := \sum_{\substack{j=0\\(j,q)=1}}^{q-1} e\left(\frac{jn}{q}\right) = \sum_{\substack{d|n\\d|q}} d\mu\left(\frac{q}{d}\right),$$

where  $e(x) = e^{2\pi i x}$ .

- Observe that  $c_q(0) = \phi(q)$  and  $c_q(1) = \mu(q)$ .
- If  $(q_1, q_2) = 1$ , then

$$c_{q_1q_2}(n) = c_{q_1}(n)c_{q_2}(n).$$



## Heuristic derivation of k-tuple conjecture

#### Heuristically,

$$\sum_{q_1,\dots,q_k}^{\infty} \frac{\mu(q_1)\cdots\mu(q_k)}{\phi(q_1)\cdots\phi(q_k)} \sum_{n\leq x} c_{q_1}(n+a_1)\cdots c_{q_k}(n+a_k) \ \sim \mathfrak{S}(a_1,\dots,a_k)x.$$

## Heuristic derivation of k-tuple conjecture

Heuristically,

$$\sum_{q_1,\dots,q_k}^{\infty} \frac{\mu(q_1)\dots\mu(q_k)}{\phi(q_1)\dots\phi(q_k)} \sum_{n\leq x} c_{q_1}(n+a_1)\dots c_{q_k}(n+a_k)$$

$$\sim \mathfrak{S}(a_1,\dots,a_k)x.$$

It is equivalent to show

$$\lim_{x \to \infty} \frac{1}{x} \sum_{q_1, \dots, q_k}^{\infty} \frac{\mu(q_1) \dots \mu(q_k)}{\phi(q_1) \dots \phi(q_k)} \sum_{n \le x} c_{q_1}(n+a_1) \dots c_{q_k}(n+a_k)$$

$$= \mathfrak{S}(a_1, \dots, a_k). \tag{1}$$

# Orthogonality property of Ramanujan sums

#### Theorem 0.1 (Carmichael, 1932)

$$\lim_{x\to\infty}\frac{1}{x}\sum_{n\leq x}c_r(n)c_s(n+h)=\left\{\begin{array}{ll}c_r(h) & \text{if } r=s,\\ 0 & \text{otherwise.}\end{array}\right.$$

# Orthogonality property of Ramanujan sums

#### Theorem 0.1 (Carmichael, 1932)

$$\lim_{x\to\infty}\frac{1}{x}\sum_{n\leq x}c_r(n)c_s(n+h)=\left\{\begin{array}{ll}c_r(h) & \text{if } r=s,\\ 0 & \text{otherwise.}\end{array}\right.$$

#### Proof.

We have

$$\sum_{n \le x} c_r(n) c_s(n+h) = \sum_{(a,r)=1} \sum_{(b,s)=1} e^{2\pi i h b/s} \sum_{n \le x} e^{2\pi i n (a/r+b/s)}.$$

The innermost sum is bounded unless a/r + b/s is an integer.





# Orthogonality property of Ramanujan sums

#### Theorem 0.1 (Carmichael, 1932)

$$\lim_{x\to\infty}\frac{1}{x}\sum_{n\leq x}c_r(n)c_s(n+h)=\left\{\begin{array}{ll}c_r(h) & \text{if } r=s,\\ 0 & \text{otherwise.}\end{array}\right.$$

#### Proof.

We have

$$\sum_{n \le x} c_r(n) c_s(n+h) = \sum_{(a,r)=1} \sum_{(b,s)=1} e^{2\pi i h b/s} \sum_{n \le x} e^{2\pi i n (a/r+b/s)}.$$

The innermost sum is bounded unless a/r + b/s is an integer.

In 1999, Gadiyar and Padma discovered a simple heuristic to derive the case k = 2.



## Triple convolution of Ramanujan sums

#### Theorem 0.2 (Chaubey, G., Murty, 2023)

Let r, s, t be squarefree with (a, r) = (b, s) = (c, t) = 1. Then,

$$\lim_{x \to \infty} \frac{1}{x} \sum_{n \le x} c_r(n+k) c_s(n+h) c_t(n+j)$$

$$= \mathscr{K}_{\Delta}(h-k,j-k) c_U(h-j) c_V(j-k) c_W(h-k),$$

where  $r = \Delta UV$ ,  $s = \Delta UW$ , and  $t = \Delta VW$  with  $\Delta, U, V, W$  all mutually coprime and  $c_U, c_V, c_W$  are Ramanujan sums and

$$\mathscr{K}_r(h,j) := \sum_{\substack{(b,r)=(c,r)=1\\(b+c,r)=1}} e^{2\pi i(hb+jc)/r}.$$

## Triple convolution of Ramanujan sums

### Theorem 0.2 (Chaubey, G., Murty, 2023)

Let r, s, t be squarefree with (a, r) = (b, s) = (c, t) = 1. Then,

$$\lim_{x \to \infty} \frac{1}{x} \sum_{n \le x} c_r(n+k) c_s(n+h) c_t(n+j)$$

$$= \mathscr{K}_{\Delta}(h-k,j-k) c_U(h-j) c_V(j-k) c_W(h-k),$$

where  $r = \Delta UV$ ,  $s = \Delta UW$ , and  $t = \Delta VW$  with  $\Delta, U, V, W$  all mutually coprime and  $c_U, c_V, c_W$  are Ramanujan sums and

$$\mathscr{K}_r(h,j) := \sum_{\substack{(b,r)=(c,r)=1\\(b+c,r)=1}} e^{2\pi i(hb+jc)/r}.$$

Using Theorem 0.2, we derive a heuristic proof for the case k = 3.



## Two variable variant of Ramanujan sums

Define

$$\mathscr{K}_{r}(h,j) := \sum_{\substack{(b,r)=(c,r)=1\\(b+c,r)=1}} e^{2\pi i(hb+jc)/r}.$$
 (2)

## Two variable variant of Ramanujan sums

Define

$$\mathscr{K}_{r}(h,j) := \sum_{\substack{(b,r)=(c,r)=1\\(b+c,r)=1}} e^{2\pi i(hb+jc)/r}.$$
 (2)

• If (m, n) = 1, then

$$\mathscr{K}_{mn}(h,j) = \mathscr{K}_{m}(h,j)\mathscr{K}_{n}(h,j).$$

## Two variable variant of Ramanujan sums

Define

$$\mathscr{K}_{r}(h,j) := \sum_{\substack{(b,r)=(c,r)=1\\(b+c,r)=1}} e^{2\pi i(hb+jc)/r}.$$
 (2)

• If (m, n) = 1, then

$$\mathscr{K}_{mn}(h,j) = \mathscr{K}_{m}(h,j)\mathscr{K}_{n}(h,j).$$

We have the following orthogonality property

$$\lim_{\substack{x \to \infty \\ y \to \infty}} \frac{1}{xy} \sum_{\substack{h \le x \\ j \le y}} \mathcal{K}_r(h,j) \overline{K_s(h,j)} = f(r) \delta_{r,s},$$

where  $\delta_{r,s}$  is the Kronecker delta function and

$$f(r) = \sum_{\substack{(b,r) = (c,r) = 1 \\ (b+c,r) = 1}} 1.$$



Assume that

$$f(q_1,...,q_k) := \lim_{x\to\infty} \frac{1}{x} \sum_{n\leq x} c_{q_1}(n+a_1) \cdots c_{q_k}(n+a_k).$$

Assume that

$$f(q_1,...,q_k) := \lim_{x\to\infty} \frac{1}{x} \sum_{n\leq x} c_{q_1}(n+a_1) \cdots c_{q_k}(n+a_k).$$

From definition, we have

$$f(q_1,...,q_k) = \lim_{x \to \infty} \frac{1}{x} \sum_{d_1|q_1,...,d_k|q_k} d_1 \mu\left(\frac{q_1}{d_1}\right) \cdots d_k \mu\left(\frac{q_k}{d_k}\right) \sum_{\substack{n \le x \\ d_1|a_1+n,\cdots,d_k|a_k+n}} 1$$

Assume that

$$f(q_1,...,q_k) := \lim_{x\to\infty} \frac{1}{x} \sum_{n\leq x} c_{q_1}(n+a_1) \cdots c_{q_k}(n+a_k).$$

From definition, we have

$$f(q_1, ..., q_k) = \lim_{x \to \infty} \frac{1}{x} \sum_{d_1 \mid q_1, ..., d_k \mid q_k} d_1 \mu \left(\frac{q_1}{d_1}\right) \cdots d_k \mu \left(\frac{q_k}{d_k}\right) \sum_{\substack{n \le x \\ d_1 \mid a_1 + n, \cdots, d_k \mid q_k}}$$

$$= \lim_{x \to \infty} \frac{1}{x} \sum_{d_1 \mid q_1, ..., d_k \mid q_k} d_1 \mu \left(\frac{q_1}{d_1}\right) \cdots d_k \mu \left(\frac{q_k}{d_k}\right) \sum_{\substack{n \le x \\ n \equiv -a_1 \mod d_1}} 1.$$

$$\vdots \\ n \equiv -a_k \mod d_k$$

#### Generalized Chinese Remainder Theorem

#### Lemma 1

For a fixed set  $T=\{a_1,\cdots,a_k\}$  and  $d_1,\cdots,d_k\in\mathbb{Z}$ , the system

$$x \equiv a_1 \mod d_1$$

$$\vdots$$

$$x \equiv a_k \mod d_k$$
(3)

has a solution if and only if  $(d_i, d_j)|(a_i - a_j)$  for all  $1 \le i, j \le k$ . When the solution exists, it is unique modulo  $[d_1, \dots, d_k]$ .

#### Generalized Chinese Remainder Theorem

#### Lemma 1

For a fixed set  $T = \{a_1, \dots, a_k\}$  and  $d_1, \dots, d_k \in \mathbb{Z}$ , the system

$$x \equiv a_1 \mod d_1$$
 $\vdots$ 
 $x \equiv a_k \mod d_k$ 
(3)

has a solution if and only if  $(d_i, d_j)|(a_i - a_j)$  for all  $1 \le i, j \le k$ . When the solution exists, it is unique modulo  $[d_1, \dots, d_k]$ .

From now on, we will fix T and define a function

$$g(d_1, \cdots, d_k) := \begin{cases} 1 & \text{if (3) has a solution,} \\ 0 & \text{otherwise.} \end{cases}$$
 (4)

#### Theorem 0.3 (G., Murty, 2024)

For fixed integers  $a_1, \dots, a_k$  and  $q_1, \dots, q_k$ , we have

$$f(q_1,...,q_k) = \sum_{d_1|q_1,...,d_k|q_k} d_1\mu\left(\frac{q_1}{d_1}\right)\cdots d_k\mu\left(\frac{q_k}{d_k}\right) \frac{g(d_1,...,d_k)}{[d_1,...,d_k]}.$$

## Theorem 0.3 (G., Murty, 2024)

For fixed integers  $a_1, \dots, a_k$  and  $q_1, \dots, q_k$ , we have

$$f(q_1,...,q_k) = \sum_{d_1|q_1,...,d_k|q_k} d_1\mu\left(\frac{q_1}{d_1}\right)\cdots d_k\mu\left(\frac{q_k}{d_k}\right) \frac{g(d_1,...,d_k)}{[d_1,...,d_k]}.$$

• Since  $g(d_1,...,d_k)$  is multiplicative, we see that  $f(n_1,...,n_k)$  is multiplicative.

## Multiplicative functions of several variables

• The theory of arithmetical functions of several variables was initiated by Vaidyanathswamy in 1927.

## Multiplicative functions of several variables

- The theory of arithmetical functions of several variables was initiated by Vaidyanathswamy in 1927.
- An arithmetical function of several variables is a map  $f: \mathbb{N}^k \to \mathbb{C}$ . We say f is multiplicative if

$$f(m_1,...,m_k)f(n_1,...,n_k) = f(m_1n_1,...,m_kn_k)$$

provided  $(m_1 \cdots m_k, n_1 \cdots n_k) = 1$ .

## Multiplicative functions of several variables

- The theory of arithmetical functions of several variables was initiated by Vaidyanathswamy in 1927.
- An arithmetical function of several variables is a map  $f: \mathbb{N}^k \to \mathbb{C}$ . We say f is multiplicative if

$$f(m_1,...,m_k)f(n_1,...,n_k) = f(m_1n_1,...,m_kn_k)$$

provided  $(m_1 \cdots m_k, n_1 \cdots n_k) = 1$ .

• For multiplicative functions f, we have a formal Dirichlet series along with an Euler product:

$$\sum_{\underline{n}=\underline{1}}^{\infty} \frac{f(n_1,...,n_k)}{n_1^{s_1} \cdots n_k^{s_k}} = \prod_{p} \left( \sum_{v_1,...,v_k=0}^{\infty} \frac{f(p^{v_1},...,p^{v_k})}{p^{v_1 s_1} \cdots p^{v_k s_k}} \right).$$

# Estimation of $f(p^{v_1},...,p^{v_k})$

#### Lemma 2

For  $0 \le v_i \le 1$  for  $1 \le i \le k$ , we have

$$f(p^{v_1},...,p^{v_k}) = (-1)^{|S|} + \frac{(-1)^{|S|}}{p} \sum_{C_i} [(1-p)^{|C_i \cap S|} - 1]$$

where  $S = \{i : v_i = 1\}.$ 

# Estimation of $f(p^{v_1},...,p^{v_k})$

#### Lemma 2

For  $0 \le v_i \le 1$  for  $1 \le i \le k$ , we have

$$f(p^{v_1},...,p^{v_k}) = (-1)^{|S|} + \frac{(-1)^{|S|}}{p} \sum_{C_i} [(1-p)^{|C_i \cap S|} - 1]$$

where  $S = \{i : v_i = 1\}.$ 

We define an equivalence relation on  $\{1, 2, ..., k\}$  using T. We say  $i \sim j$  if and only if  $a_i \equiv a_j \pmod{p}$ . This partitions T into equivalence classes  $C_i$ . Note that b(p) is the number of equivalence classes.

## Heuristic proof of *k*-tuple conjecture

From Lemma 2, we have

$$\begin{split} & \sum_{v_{1},...,v_{k} \geq 0} \frac{\mu(\rho^{v_{1}}) \cdots \mu(\rho^{v_{k}})}{\phi(\rho^{v_{1}}) \cdots \phi(\rho^{v_{k}})} f(\rho^{v_{1}},...,\rho^{v_{k}}) \\ & = \sum_{v_{1},...,v_{k} \geq 0} \frac{\mu(\rho^{v_{1}}) \cdots \mu(\rho^{v_{k}})}{\phi(\rho^{v_{1}}) \cdots \phi(\rho^{v_{k}})} \left\{ (-1)^{|S|} + \frac{(-1)^{|S|}}{\rho} \sum_{C_{i}} [(1-\rho)^{|C_{i} \cap S|} - 1] \right\} \\ & = \left(1 - \frac{b(\rho)}{\rho}\right) \left(1 - \frac{1}{\rho}\right)^{-k}. \end{split}$$

This gives a heuristic proof of k-tuple conjecture.

# Thank You!